

NOTES ON PRISMS (DRAFT)

LANCE GURNEY

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INTRODUCTION

These notes will contain an overview of various things prismatic relative to a general complete discrete valuation ring with finite residue field.

The theory here can be found in the case of \mathbf{Z}_p in Bhatt–Scholze [BS19], or Drinfeld [Dri21] and [Dri]. Of course, any errors are my own.

1. WITT VECTORS AND δ -STRUCTURES

For now and forever we fix a complete discrete valuation ring \mathcal{O} with maximal ideal \mathfrak{p} , finite residue field $k = \mathcal{O}/\mathfrak{p}$ of cardinality q , a power of a prime p . When convenient we also fix a generator $\pi \in \mathcal{O}$ of \mathfrak{p} . We write $\text{Alg}_{\mathcal{O}}$ for the category of \mathcal{O} -algebras and $\text{Alg}_{\mathcal{O}}^{\mathfrak{p}}$ for the category of \mathcal{O} -algebras in which \mathfrak{p} is nilpotent.

1.1. Witt vectors and δ -structures.

1.1.1. *Definition.* Let A be an \mathcal{O} -algebra. A δ -structure on A is a map $\delta_{\pi} : A \rightarrow A$ satisfying the following identities:

- (1) $\delta_{\pi}(x + y) = \delta_{\pi}(x) + \delta_{\pi}(y) - \sum_{i=1}^{q-1} \frac{1}{\pi} \binom{q}{i} x^{q-i} y^i$.¹
- (2) $\delta_{\pi}(xy) = x^p \delta_{\pi}(y) + \delta_{\pi}(x) y^q + \pi \delta_{\pi}(x) \delta_{\pi}(y)$.
- (3) $\delta_{\pi}(a) = (a - a^q)/\pi$ for $a \in \mathcal{O}$.

This does not depend on the uniformiser π chosen in the sense that if $\pi' = \lambda\pi$ for $\lambda \in \mathcal{O}^{\times}$ then a map δ_{π} satisfies the identities above if and only if the map $\delta_{\pi'} := \lambda^{-1} \delta_{\pi}$ satisfies the analogous identities with π replaced everywhere by $\lambda\pi = \pi'$. In any case, the purpose of this structure is realised when we define the map

$$\varphi : A \rightarrow A : x \mapsto \varphi(x) := x^q + \pi \delta_{\pi}(x)$$

which the reader readily checks is an \mathcal{O} -algebra homomorphism $\varphi : A \rightarrow A$ lifting the q -power Frobenius modulo \mathfrak{p} . A morphism of δ -rings is any \mathcal{O} -algebra homomorphism commuting with the δ maps and $\text{Alg}_{\delta_{\mathcal{O}}}$ denotes the category of δ -rings.

1.1.2. *The torsion free case.* If A is \mathfrak{p} -torsion free then δ -structures on A are in bijective correspondence with \mathcal{O} -algebra homomorphisms $\varphi : A \rightarrow A$ lifting the q -power Frobenius via

$$\varphi \mapsto \delta : x \mapsto \frac{\varphi(x) - x^q}{\pi}.$$

If A is not \mathfrak{p} -torsion free then it really is extra structure but, as explained by Bhatt–Scholze, δ -structures are really ‘derived’ Frobenius lifts.

1.1.3. *Witt vectors and arithmetic jets.* The forgetful functor $\text{Alg}_{\delta_{\mathcal{O}}} \rightarrow \text{Alg}_{\mathcal{O}}$ admits both a left and a right adjoint. The right adjoint is given by the \mathcal{O} -Witt vectors $W_{\mathcal{O}}$ and the left adjoint by the \mathcal{O} -arithmetic Jet ring $J_{\mathcal{O}}$.

Composed with the forgetful functor these adjoints give a comonad and monad respectively on the category of \mathcal{O} -algebras and a coaction of $W_{\mathcal{O}}$ (resp. action of $J_{\mathcal{O}}$) on an \mathcal{O} -algebra is the same as a $\delta_{\mathcal{O}}$ -structure. The coaction map of $W_{\mathcal{O}}(\mathbb{R})$ on itself is denoted $w : W_{\mathcal{O}}(\mathbb{R}) \rightarrow W_{\mathcal{O}}(W_{\mathcal{O}}(\mathbb{R}))$ and called the Artin–Hasse exponential.

¹Here $\frac{1}{\pi} \binom{q}{i}$ for $1 \leq i \leq q-1$ denotes the unique element in \mathcal{O} which multiplied by π gives $\binom{q}{i}$.

1.1.4. *Coordinates on the Witt vectors.* The Witt vector functor $W_{\mathcal{O}}$ is co-represented by $J_{\mathcal{O}}(\mathcal{O}[t]) = \mathcal{O}\{t\}$ which is, by definition, the free δ -ring on a single generator. As an \mathcal{O} -algebra, it is a polynomial algebra on countably many generators given by the elements $\delta_i := \delta^{\circ i}(t) \in \mathcal{O}\{t\}$:

$$\mathcal{O}\{t\} \xrightarrow{\sim} \mathcal{O}[\delta_0, \delta_1, \dots].$$

These generators induce the ‘Joyal coordinates’ on the Witt vectors:

$$W_{\mathcal{O}}(\mathbf{R}) = \mathrm{Hom}_{\mathcal{O}}(\mathcal{O}\{t\}, \mathbf{R}) \xrightarrow{\sim} \prod_{i=0}^{\infty} \mathbf{R} : f \mapsto (f(\delta_0), f(\delta_1), \dots).$$

The \mathcal{O} -algebra structure on the infinite product $\prod_{i=0}^{\infty} \mathbf{R}$ induced by the isomorphism above is the unique functorial one such that:

(i) The projection:

$$\prod_{i=0}^{\infty} \mathbf{R} \rightarrow \mathbf{R} : (\delta_0, \delta_1, \delta_2, \dots) \rightarrow \delta_0$$

is an \mathcal{O} -algebra homomorphism.

(ii) The map

$$\prod_{i=0}^{\infty} \mathbf{R} \rightarrow \prod_{i=0}^{\infty} \mathbf{R} : (\delta_0, \delta_1, \dots) \mapsto (\delta_0^q + \pi\delta_1, \delta_1^q + \pi\delta_2, \dots)$$

is an \mathcal{O} -algebra homomorphism. This homomorphism corresponds to the Frobenius φ on the Witt vectors.

There is a second set of coordinates on $W_{\mathcal{O}}(\mathbf{R})$ called the ‘Witt coordinates’. If we denote them by $d_i \in \mathcal{O}\{t\}$ for $i = 0, 1, \dots$ then $d_0 = \delta_0 = t$ and the rest are defined inductively to be the unique elements of $\mathcal{O}\{t\}$ such that $\varphi^{\circ n}(t) \in \mathcal{O}\{t\}$ is given by the formula

$$\varphi^{\circ n}(t) = \sum_{i=0}^n \pi^i d_i^{q^{n-i}} = d_0^{q^n} + \pi d_1^{q^{n-1}} + \pi^2 d_2^{q^{n-2}} + \dots + \pi^n d_n.$$

This induces a second isomorphism $W_{\mathcal{O}}(\mathbf{R}) \xrightarrow{\sim} \prod_{i=0}^{\infty} \mathbf{R}$ and the resulting \mathcal{O} -algebra structure on $\prod_{i=0}^{\infty} \mathbf{R}$ is the unique functorial one such that the maps

$$g_n : \prod_{i=0}^{\infty} \mathbf{R} \rightarrow \mathbf{R} : (d_0, d_1, d_2, \dots) \rightarrow \sum_{i=0}^n \pi^i d_i^{q^{n-i}}$$

are \mathcal{O} -algebra homomorphisms for $i \geq 0$. A coordinate free description of these maps

$$g_n : W_{\mathcal{O}}(\mathbf{R}) \rightarrow \mathbf{R}$$

is iterates of the Frobenius φ^n composed with the canonical projection $W_{\mathcal{O}}(\mathbf{R}) \rightarrow \mathbf{R}$ and are called the ghost maps.

1.1.5. *Teichmüller map.* The \mathcal{O} -algebra $\mathcal{O}[t]$ has a unique δ -structure with Frobenius lift $\varphi(t) = t^q$. By adjunction we find a unique δ -map

$$\mathcal{O}\{t\} \rightarrow \mathcal{O}[t]$$

and the induced map

$$[-] : \mathbf{R} \rightarrow W_{\mathcal{O}}(\mathbf{R})$$

is the Teichmüller map. It is the unique multiplicative (but in general non-additive) section of the projection $W_{\mathcal{O}}(\mathbb{R}) \rightarrow \mathbb{R}$.

1.1.6. *Verschiebung*. The kernel of the projection $W_{\mathcal{O}}(\mathbb{R}) \rightarrow \mathbb{R}$ is denoted by $VW(\mathbb{R})$ and called the Verschiebung ideal. The restriction of the Frobenius to $VW(\mathbb{R})$ has image contained in $\mathfrak{p}W(\mathbb{R})$ and it can be lifted to a unique functorial isomorphism

$$\varphi : VW(\mathbb{R}) \xrightarrow{\sim} \mathfrak{p} \otimes W(\mathbb{R}).$$

The inverse of this isomorphism is called the Verschiebung map

$$V : \mathfrak{p} \otimes W(\mathbb{R}) \xrightarrow{\sim} VW(\mathbb{R}) \subset W(\mathbb{R}).$$

We denote by V_{π} the map $V_{\pi}(w) = V(\pi \otimes w)$ which in terms of the Witt coordinates is given by

$$V_{\pi}(d_0, d_1, \dots) = (0, d_0, d_1, \dots).$$

The Verschiebung (so normalised) satisfies the relations:

- (i) $\varphi(V_{\pi}(w)) = \pi w$,
- (ii) $V_{\pi}(\varphi(w)w') = wV_{\pi}(w')$,
- (iii) $V_{\pi}(w)V_{\pi}(w') = \pi V_{\pi}(ww')$.

1.1.7. *Finite length Witt vectors*. The image of the n th iterate V_{π}^n of the Verschiebung is denoted by $V^nW(\mathbb{R})$ and called the n th Verschiebung ideal. The quotient $W_{\mathcal{O},n}(\mathbb{R}) := W_{\mathcal{O}}(\mathbb{R})/V^nW_{\mathcal{O}}(\mathbb{R})$ is the ring of length n Witt vectors and we have

$$W_{\mathcal{O},n}(\mathbb{R}) \xrightarrow{\sim} \lim_n W_{\mathcal{O},n}(\mathbb{R}).$$

This equips $W_{\mathcal{O}}(\mathbb{R})$ with a natural topology, or better, a natural pro-ring structure.

The maps δ , φ , V_{π} and w are compatible with this structure and induce maps

$$\delta, \varphi : W_{\mathcal{O},n+1}(\mathbb{R}) \rightarrow W_{\mathcal{O},n}(\mathbb{R}) \quad V_{\pi}^i : W_{\mathcal{O},n}(\mathbb{R}) \rightarrow W_{\mathcal{O},n+i}(\mathbb{R})$$

and

$$w : W_{\mathcal{O},n+m}(\mathbb{R}) \rightarrow W_{\mathcal{O},n}(W_{\mathcal{O},m}(\mathbb{R}))$$

and then short exact sequences

$$0 \rightarrow W_{\mathcal{O},n}(\mathbb{R}) \xrightarrow{V_{\pi}^i} W_{\mathcal{O},n+i}(\mathbb{R}) \rightarrow W_{\mathcal{O},i}(\mathbb{R}) \rightarrow 0$$

for all $0 \leq i \leq n \leq \infty$ (where $i, n = \infty$ means the infinite length Witt vectors).

1.1.8. *Witt vectors as series*. It follows that using the Teichmüller and the Verschiebung we can uniquely write any Witt vector $w \in W_{\mathcal{O}}(\mathbb{R})$ as an infinite series

$$w = \sum_{i=0}^{\infty} V_{\pi}^i[r_i]$$

with $r_0, r_1, \dots \in \mathbb{R}$. The induced coordinates agree with the Witt coordinates defined earlier.

1.1.9. *Witt vectors, nilpotent ideals and étale maps.* If $R \rightarrow R'$ is an étale homomorphism then for all finite n and all homomorphisms $R \rightarrow R''$, the natural map

$$W_{\mathcal{O},n}(R') \otimes_{W_{\mathcal{O},n}(R)} W_{\mathcal{O},n}(R'') \xrightarrow{\sim} W_{\mathcal{O},n}(R' \otimes_R R'')$$

is an isomorphism.

For $1 \leq n \leq \infty$ and $I \subset R$ an ideal we write $W_{\mathcal{O},n}(I) = \ker(W_{\mathcal{O},n}(R) \rightarrow W_{\mathcal{O},n}(R/I))$. Then:

- (i) If I is nilpotent and $1 \leq n < \infty$ then $W_{\mathcal{O},n}(I)$ is also nilpotent.
- (ii) If \mathfrak{p} is nilpotent in R then $V_{\pi}^i W_{\mathcal{O},n}(R) \subset W_{\mathcal{O},n+i}(R)$ is nilpotent, as is $\mathfrak{p}W_{\mathcal{O},n}(R)$.
- (iii) We have $\varphi(W_{\mathcal{O},n}(I)) \subset W_{\mathcal{O},n-1}(I^q + \pi I)$ (use Joyal coordinates).

1.1.10. *Witt vectors of k -algebras.* If R is an $\mathcal{O}/\mathfrak{p} = k$ -algebra then the Witt vector Frobenius φ coincides with $W_{\mathcal{O}}(\text{Fr}^q)$. This implies that the Verschiebung and Frobenius actually commute

$$\varphi(V_{\pi}(w)) = V_{\pi}(\varphi(w)) = \pi w.$$

In fact, this is equivalent to R being a k -algebra. In particular, if the Frobenius is an isomorphism on R , it is on $W_{\mathcal{O}}(R)$ and $V_{\pi}^i = \pi^i \varphi^{-i}$. In this case, every element of $W_{\mathcal{O}}(R)$ can be written uniquely as a ‘power series in π ’:

$$w = \sum_{i=0}^{\infty} [r_i] \pi^i.$$

Note that this implies that $W_{\mathcal{O}}(R)$ is π -torsion free.

1.1.11. *The case $\mathcal{O} = \mathbf{F}_q[[\pi]]$.* If $\mathcal{O} = \mathbf{F}_q[[\pi]]$ is equi-characteristic and R is an $\mathbf{F}_q[[\pi]]$ -algebra then the Teichmüller map

$$[-] : R \rightarrow W_{\mathbf{F}_q[[\pi]]}(R)$$

is an \mathbf{F}_q -linear ring homomorphism, that is it is not only multiplicative but also additive. It is not an $\mathbf{F}_q[[\pi]]$ -algebra homomorphism, as $[\pi] \neq \pi$ in $W_{\mathbf{F}_q[[\pi]]}(R)$. However, there is an induced $\mathbf{F}_q[[\pi]]$ -linear map

$$R \otimes_{\mathbf{F}_q} \mathbf{F}_q[[\pi]] \rightarrow W_{\mathbf{F}_q[[\pi]]}(R).$$

If R is π -adically complete (the case we are mainly interested in) then this map extends by continuity to a map

$$R[[\pi]] \rightarrow W_{\mathbf{F}_q[[\pi]]}(R)$$

which is in general is neither surjective nor injective. However, if R is perfect (i.e. the q -power Frobenius is an isomorphism) then it is an isomorphism. Moreover, for any R , $R[[\pi]]$ has a unique δ -structure, given by $\delta(r) = 0$ for $r \in R \subset R[[\pi]]$, for which the $R[[z]] \rightarrow W_{\mathbf{F}_q[[\pi]]}(R)$ is a δ -homomorphism.

1.2. δ -structures on sheaves.

1.2.1. *Pro-rings and ind-affine sheaves.* Let $\text{Alg}_{\mathcal{O}}^{\text{pro}}$ denote the category of pro- \mathcal{O} -algebras. We denote a general object of this category by

$$\text{“}\lim_{i \in I} R_i\text{”}.$$

Then the Yoneda embedding $R \mapsto \text{Spec}(R)$ extends to the category of pro- \mathcal{O} -algebras by

$$\text{“}\lim_{i \in I} R_i\text{”} \mapsto \text{colim}_{i \in I} \text{Spec}(R_i)$$

and this functor is fully faithful. The essential image of this functor is the category of ind-affine sheaves $\text{Aff}_{\mathcal{O}}^{\text{ind}}$.

1.2.2. *Witt vectors of sheaves.* Given a sheaf X , writing it as its ‘Yoneda colimit’

$$X \xrightarrow{\sim} \text{colim}_{\text{Spec}(R) \rightarrow X} \text{Spec}(R)$$

we define

$$W_{\mathcal{O},n}(X) := \text{colim}_{\text{Spec}(R) \rightarrow X} \text{Spec}(W_{\mathcal{O},n}(R)) \quad \text{and} \quad W_{\mathcal{O}}(X) = \text{colim}_n W_{\mathcal{O},n}(X).$$

If $X = \text{Spec}(R)$ is affine then

$$W_{\mathcal{O}}(\text{Spec}(R)) = \text{colim}_n \text{Spec}(W_{\mathcal{O},n}(R))$$

is identified with the ind-affine scheme corresponding to the pro-ring “ $\lim_n W_{\mathcal{O},n}(R)$ ”.

1.2.3. *δ -structures on sheaves.* The functor $W_{\mathcal{O}}$ on $\text{Sh}_{\mathcal{O}}$ defines a monad (the variance has changed) and a δ -structure on sheaf is an action of this monad. We write $\text{Sh}_{\delta_{\mathcal{O}}}$ for the category of δ -sheaves (that is sheaves equipped with a δ -structure) and note that the forgetful functor to the category of sheaves commutes limits, disjoint unions and filtered colimits. Moreover, $X \mapsto W_{\mathcal{O}}(X)$ is (by definition) left adjoint to the forgetful functor.

Of course, any δ -sheaf X has a lift of q -power Frobenius $\varphi : X \rightarrow X$.

1.2.4. *Arithmetic jet (pre)sheaves.* The forgetful functor from δ -sheaves to all sheaves wants to have a right adjoint given by the Jet space:

$$J_{\mathcal{O}}(X) := \lim_n X \circ W_{\mathcal{O},n}.$$

However $J_{\mathcal{O}}(X)$ is not in general an fpqc sheaf as the functors $W_{\mathcal{O},n}$ are not continuous for the fpqc topology (although they are continuous for the étale topology). Whenever the presheaf $J_{\mathcal{O}}(X)$ defined above *is* a sheaf, the adjunction property holds – in particular for X a scheme (as in this case each presheaf $J_{\mathcal{O},n}(X) := X \circ J_{\mathcal{O},n}$ is itself a scheme). In general, we will see later that after ‘perfecting’ the functor $J_{\mathcal{O}}$ becomes continuous.

1.2.5. *\mathfrak{p} -adic sheaves.* The terminal object $\text{Spec}(\mathcal{O})$ in $\text{Sh}_{\mathcal{O}}$ has a natural subsheaf

$$\text{Spf}(\mathcal{O}) := \text{colim}_i \text{Spec}(\mathcal{O}/\mathfrak{p}^i) \subset \text{Spec}(\mathcal{O})$$

whose value on an \mathcal{O} -algebra R is the singleton if \mathfrak{p} is nilpotent in R and empty otherwise. A sheaf $X \in \text{Sh}_{\mathcal{O}}$ is said to be \mathfrak{p} -adic if its structure map $X \rightarrow \text{Spec}(\mathcal{O})$ factors through $\text{Spf}(\mathcal{O}) \subset \text{Spec}(\mathcal{O})$. We will write $\text{Sh}_{\mathcal{O}}^{\mathfrak{p}}$ for this category.

1.2.6. *p-adic δ -sheaves.* We now arrive at our final destination which is the category of \mathfrak{p} -adic δ -sheaves. Note that $\mathrm{Sh}_{\mathcal{O}}^{\mathfrak{p}}$ is stable under the functor $W_{\mathcal{O}}$ as if \mathfrak{p} is nilpotent in R it is also nilpotent in $W_{n,\mathcal{O}}(R)$ so that $\mathrm{Spec}(W_{\mathcal{O},n}(R))$ is a \mathfrak{p} -adic sheaf and therefore so is $W_{\mathcal{O}}(\mathrm{Spec}(R))$. We denote by $\mathrm{Sh}_{\delta_{\mathcal{O}}}$ the category of \mathfrak{p} -adic sheaves equipped with a δ -structure and compatible morphisms. It will be useful later to note that if \mathfrak{p} is nilpotent in R then the morphisms $W_{\mathcal{O},n}(\mathrm{Spec}(R)) \rightarrow W_{\mathcal{O}}(\mathrm{Spec}(R))$ are representable by nilpotent immersions.

1.3. Stacks and quasi-coherent modules.

1.3.1. *'Algebraic' stacks.* A morphism of schemes $f : X \rightarrow Y$ is said to be fpqc if it is faithfully flat and a covering morphism for the fpqc topology.

A stack \mathcal{X} is said to be algebraic if there exists a morphism $X \rightarrow \mathcal{X}$ from a scheme X which is representable by fpqc morphisms. A morphism $f : \mathcal{X} \rightarrow \mathcal{Y}$ of stacks is said to be algebraic if for all affine schemes $\mathrm{Spec}(R) \rightarrow \mathcal{Y}$ the stack $\mathcal{X} \times_{\mathcal{Y}} \mathrm{Spec}(R)$ is algebraic. Algebraic morphisms are preserved under composition, base change and satisfy fpqc descent.

1.3.2. *Quasi-coherent modules.* If X is a sheaf² (\mathfrak{p} -adic if you like, but for this it is not important) then a quasi-coherent module \mathcal{M} on X is defined to be the following data: for all maps $f : \mathrm{Spec}(R) \rightarrow X$, we are given an R -module

$$\mathcal{M}_f$$

and for all morphisms $h : \mathrm{Spec}(R') \rightarrow \mathrm{Spec}(R)$ an isomorphism

$$h^*(\mathcal{M}_f) \xrightarrow{\sim} \mathcal{M}_{f \circ h}$$

satisfying the usual compatibility conditions, where the first h^* denotes the usual base change induced by $h : R \rightarrow R'$. We denote by $\mathrm{QCoh}(X)$ the category of quasi-coherent modules on X . We write \mathcal{O}_X for the quasi-coherent module $(\mathcal{O}_X)_f = R$. Of course, $X \mapsto \mathrm{QCoh}(X)$ is just the right Kan extension of its restriction to affine schemes.

When $X = \mathrm{Spec}(R)$, or more generally any scheme, $\mathrm{QCoh}(X)$ agrees with the usual notion. In general, if we can write $X = \mathrm{colim}_i X_i$ then

$$\mathrm{QCoh}(X) \xrightarrow{\sim} \lim_{\leftarrow i} \mathrm{QCoh}(X_i)$$

whence the observation that $\mathrm{QCoh}(X)$ may in general not be abelian. In particular, if $X = \mathrm{Spf}(\mathcal{O})$ then $\mathrm{QCoh}(X)$ is equivalent to the category of \mathfrak{p} -adically complete \mathcal{O} -modules, which is not abelian. If we can write $X = \mathrm{colim}_i X_i$ in such a way that each $\mathrm{QCoh}(X_i)$ is abelian and the transition maps induce exact functors on quasi-coherent modules e.g. for a scheme and an open cover by affines, but it works more generally.

If $f : X \rightarrow Y$ is a morphism of sheaves and \mathcal{M} is a quasi-coherent module on Y then $f^*(\mathcal{M})$ is defined by setting, for any $h : \mathrm{Spec}(R) \rightarrow X$

$$f^*(\mathcal{M})_h := \mathcal{M}_{f \circ h}.$$

²It could also be a presheaf - the definition never uses that X is a sheaf. The same definition also works for stacks or prestacks, with the usual modifications: if \mathcal{X} is a (pre)stack then we ask for functor functors $\mathcal{X}(R) \rightarrow \mathrm{Mod}(R)$ satisfying various compatibilities (rather than just maps $X(R) \rightarrow \mathrm{Mod}(R)$ for presheaves X).

We say that \mathcal{M} is a vector bundle if $f^*(\mathcal{M})$ is a finite projective R -module for all $f : \text{Spec}(R) \rightarrow X$ and that \mathcal{M} is a line bundle if it is finite projective of rank one.

An important case is when $X = \text{colim}_i \text{Spec}(R_i)$ is an ind-affine scheme. Then a line bundle \mathcal{L} on X is by definition a compatible collection L_i of rank one projective R_i -modules. If moreover the transition maps $R_i \rightarrow R_j$ have kernels generated by nilpotent elements, we see that as soon as one L_i is free all of them are and $\mathcal{L} \xrightarrow{\sim} \mathcal{O}_X$. In particular, any affine open cover of *one* $\text{Spec}(R_i)$ induces unique compatible open covers of *all* the $\text{Spec}(R_j)$. Thus in this case, there is a relative affine open cover of X with the property that the pull-back of \mathcal{L} to this open cover is free.

Given a quasi-coherent module \mathcal{M} on X we can turn it into a ‘physical’ object over X , that is an actual sheaf over X , as follows. We define $\mathbf{V}(\mathcal{M})$ to be the sheaf over X whose set of sections over a sheaf $f : Y \rightarrow X$ is

$$\mathbf{V}(\mathcal{M})(Y) := \text{Hom}_{\text{QCoh}(Y)}(\mathcal{O}_Y, f^*(\mathcal{M})).$$

In this way, we see that $\mathbf{V}(\mathcal{O}_X) \xrightarrow{\sim} \mathbf{A}_X^1$. That is

$$\mathbf{V}(\mathcal{O}_X)(Y) = \text{Hom}_{\text{QCoh}(Y)}(\mathcal{O}_Y, f^*(\mathcal{O}_X)) = \text{Hom}_X(Y, \mathbf{A}_X^1).$$

More generally, a quasi-coherent module \mathcal{E} on X is a vector bundle if and only if $\mathbf{V}(\mathcal{E})$ is locally³ isomorphic to $\mathbf{V}(\mathcal{O}_X^n) = \mathbf{A}_X^n$.

2. PRISMS

2.1. Distinguished elements and quasi-ideals.

2.1.1. *Distinguished elements.* Let R be an \mathcal{O} in which \mathfrak{p} is nilpotent, i.e. $R \in \text{Alg}_{\mathcal{O}}^{\mathfrak{p}}$, and consider the Witt vectors $W(R)$. We say that an element $\xi \in W(R)$ is distinguished if one of the following equivalent conditions hold:

- (i) $\xi = [\xi_0] + V_{\pi}[\xi_1] + \dots$ with $\xi_0 \in R$ nilpotent and $\xi_1 \in R$ invertible,
- (ii) $\xi = [\xi_0] + V_{\pi}(w)$ with $\xi_0 \in R$ nilpotent and $w \in W(R)$ a unit,
- (iii) The image of ξ in $W_n(R)$ is nilpotent for each $n \geq 1$ and $\delta(\xi) \in W(R)$ is a unit.

The fundamental example of a distinguished element is $\pi \in W(R)$.

2.1.2. **Proposition** (Properties of distinguished elements). *Let R be an $\mathcal{O}/\mathfrak{p}^r$ -algebra and let $\xi \in W(R)$.*

- (i) ξ is distinguished if and only if $\varphi(\xi)$ is distinguished.
- (ii) If ξ is distinguished and $u \in W(R)$ then $\xi' := u\xi$ is distinguished if and only if u is a unit.
- (iii) If $\xi^{q^n} = 0 \text{ mod } \text{VW}(R)$ for some $n \geq 0$, then $\varphi^{n+1}(\xi) = u\pi$ where u is a unit.
- (iv) If ξ is distinguished, $\xi^{q^n} = 0 \text{ mod } \text{VW}(R)$ for some $n \geq 0$ and $u\xi = \xi$ then $\varphi^{n+r+1}(u) = 1$.
- (v) If $f : R \rightarrow R'$ is a homomorphism and $\xi \in W(R)$ is distinguished then $W(f)(\xi) \in W(R')$ is distinguished.

³Here locally is meant in the most general sense: after base change along an epimorphism $X' \rightarrow X$ of fpqc sheaves.

Proof. (i) As $\varphi(\xi) = \xi^q + \pi\delta(\xi)$ and π is topologically nilpotent, we see that ξ is topologically nilpotent if and only if $\varphi(\xi)$ is topologically nilpotent. Similarly,

$$\delta(\varphi(\xi)) = \varphi(\delta(\xi)) = \delta(\xi)^q + \pi(\delta(\delta(\xi)))$$

so that $\delta(\varphi(\xi))$ is a unit if and only if $\delta(\xi)$ is a unit.

(ii) If ξ is distinguished and $u \in W(\mathbf{R})$ is a unit then $\xi' = u\xi$ is topologically nilpotent and

$$\delta(u\xi) = u^q\delta(\xi) + \varphi(\xi)\delta(u)$$

is a unit as $u^q\delta(\xi)$ is a unit and $\varphi(\xi)$ is topologically nilpotent.

Conversely, if $u \in W(\mathbf{R})$ is any element such that $\xi' = u\xi$ is distinguished then the same equality

$$\delta(u\xi) = u^q\delta(\xi) + \varphi(\xi)\delta(u)$$

shows that u^q and hence u must be a unit.

(iii) We have $\xi = [\xi_0] + V_\pi(w)$ where w is a unit and $\xi^{q^n} = 0 \pmod{VW(\mathbf{R})}$ is equivalent to $\xi_0^{q^n} = 0$ in \mathbf{R} . Therefore,

$$\varphi^{n+1}(\xi) = \varphi^{n+1}([\xi_0]) + \varphi^{n+1}(V_\pi(w)) = [\xi_0^{q^{n+1}}] + \pi\varphi^n(w) = \pi\varphi^n(w).$$

(iv) By (iii) we are reduced to showing that if $u\pi = \pi$ then $\varphi^r(u) = 1$. If $r = 1$, so that $\pi = 0$ in \mathbf{R} , then $\varphi \circ V_\pi = V_\pi \circ \varphi = \pi$ so that

$$0 = p(u - 1) = \varphi(V_\pi(u - 1)) = V_\pi(\varphi(u - 1)).$$

As V_π is injective this implies that $\varphi(u) = 1$.

In general, this shows that $\varphi(u-1) \in W(\mathbf{pR}) = \ker(W(\mathbf{R}) \rightarrow W(\mathbf{R}/\mathbf{p}))$. However, we have $\varphi(W(\mathbf{p}^i\mathbf{R})) \subset W(\mathbf{p}^{i+1}\mathbf{R})$ so that if $\mathbf{p}^r = 0$ we get:

$$\varphi^r(u - 1) = \varphi^{r-1}(\varphi(u - 1)) \in \varphi^{r-1}(W(\mathbf{pR})) \subset W(\mathbf{p}^r\mathbf{R}) = 0.$$

(v) Clear. □

2.1.3. *Distinguished quasi-ideals over Witt vectors.* Write $S = \text{Spec}(\mathbf{R})$. A distinguished quasi-ideal on $W(S)$ is a line bundle \mathcal{I} on $W(S)$ equipped with a map

$$\xi : \mathcal{I} \rightarrow \mathcal{O}_{W(S)}$$

such that on one (or any) open cover⁴ over which $\mathcal{I} \xrightarrow{\sim} \mathcal{O}_{W(S)}$ is trivialised,

$$\xi \in \text{Hom}(\mathcal{O}_{W(S)}, \mathcal{O}_{W(S)}) = W(\mathbf{R})$$

is distinguished. A morphism of distinguished quasi-ideals

$$u : (\xi : \mathcal{I} \rightarrow \mathcal{O}_{W(S)}) \rightarrow (\xi' : \mathcal{I}' \rightarrow \mathcal{O}_{W(S)})$$

is any morphism $u : \mathcal{I} \rightarrow \mathcal{I}'$ such that $\xi' \circ u = \xi$.

If $S' = \text{Spec}(\mathbf{R}')$ and $f : S' \rightarrow S$ is a morphism then we write

$$f^*(\xi : \mathcal{I} \rightarrow \mathcal{O}_{W(S)}) = (f^*(\xi) : f^*(\mathcal{I}) \rightarrow \mathcal{O}_{W(S)})$$

which is again a distinguished quasi-ideal by (v) of (2.1.2).

Given a distinguished quasi-ideal $(\xi : \mathcal{I} \rightarrow \mathcal{O}_{W(S)})$ and writing $i : S \rightarrow W(S)$ for the natural closed immersion let us set $i^*(\mathcal{I}) = \mathcal{I}_0$ and $\xi_0 = i^*(\xi)$. Then we

⁴Such open covers exist because the transition maps $\text{Spec}(W_n(\mathbf{R})) \rightarrow \text{Spec}(W_{n+1}(\mathbf{R}))$ are nilpotent immersions. Any open subscheme of any $\text{Spec}(\mathbf{R})$ lifts uniquely to a compatible family of open subschemes of the $\text{Spec}(W_n(\mathbf{R}))$ and hence to a (representable) open subscheme of the colimit $W(\text{Spec}(\mathbf{R}))$. Indeed, if $U \subset \text{Spec}(\mathbf{R})$ is an open immersion then $W(U) \rightarrow W(\text{Spec}(\mathbf{R}))$ is also an open immersion. Similarly, a line bundle on $W(\text{Spec}(\mathbf{R}))$ is trivial if and only if its restriction to $\text{Spec}(\mathbf{R})$ is trivial as trivialisations can always be lifted along nilpotent immersions.

have a morphism of line bundles $(\xi_0 : \mathcal{I}_0 \rightarrow \mathcal{O}_S)$ over S . As $(\xi : \mathcal{I} \rightarrow \mathcal{O}_{W(S)})$ is distinguished it follows that $\xi_0^{\otimes q^n}$ is the zero map for some $n \geq 0$.

2.1.4. *Remark.* A distinguished quasi-ideal on $W(S)$ for $S = \text{Spec}(R)$ with \mathfrak{p} nilpotent in R is the same as a projective rank one $W(R)$ -module I , together with a map $\xi : I \rightarrow W(R)$ such that, Zariski locally on R , ξ sends a generator of I to a distinguished element of $W(R)$.

2.1.5. *Principal distinguished quasi-ideals.* If $\xi \in W(R) = \text{Hom}(\mathcal{O}_{W(S)}, \mathcal{O}_{W(S)})$ is a distinguished element then $(\xi : \mathcal{O}_{W(S)} \rightarrow \mathcal{O}_{W(S)})$ is a distinguished quasi-ideal and a distinguished quasi-ideal is said to be principal and generated by $\xi \in W(R)$ if it is isomorphic to one of this form.

2.1.6. **Proposition** (Properties of distinguished quasi-ideals over Witt vectors). *Let $S = \text{Spec}(R)$ where $\mathfrak{p}^r = 0$ in R .*

- (i) *Every morphism of distinguished quasi-ideals over $W(S)$ is an isomorphism.*
- (ii) *If $(\xi : \mathcal{I} \rightarrow \mathcal{O}_{W(S)})$ is a distinguished quasi-ideal then so is $(\varphi^*(\xi) : \varphi^*(\mathcal{I} \rightarrow \mathcal{O}_{W(S)}))$, and moreover it is principal.*
- (iii) *If $(\xi : \mathcal{I} \rightarrow \mathcal{O}_{W(S)})$ is a distinguished quasi-ideal and $\xi_0^{\otimes q^n} = 0$ for some $n \geq 0$ then there exists an isomorphism*

$$(i_{\mathfrak{p}} : \mathfrak{p} \otimes \mathcal{O}_{W(S)} \rightarrow \mathcal{O}_{W(S)}) \xrightarrow{\sim} \varphi^{n+1*}(\xi : \mathcal{I} \rightarrow \mathcal{O}_{W(S)}).$$

- (iv) *If u is an automorphism of a distinguished quasi-ideal $(\xi : \mathcal{I} \rightarrow \mathcal{O}_{W(S)})$ with the property that $\xi_0^{\otimes q^n} = 0$ for some $n \geq 1$, then $\varphi^{n+r+1*}(u) = \text{id}$.*

Proof. (i) It suffices to work locally and so we may assume that \mathcal{I} is principal in which case it follows (ii) of (2.1.2).

(ii) The line bundle \mathcal{I} and the morphism $\xi : \mathcal{I} \rightarrow \mathcal{O}_{W(S)}$ correspond to a compatible collection of rank one projective $W_n(R)$ -modules I_n and compatible maps $\xi_n : I_n \rightarrow W_n(R)$ and the claim is that the compatible collection $\varphi^*(I_{n+1})$ of $W_n(R)$ -modules is free.

First, we define for each $n \geq 1$ a map

$$I_{n+1} \rightarrow W_n(R)/\xi_n(I_n) : i \mapsto \delta_{\pi}(\xi_{n+1}(i)) \bmod \xi_n(I_n).$$

We claim that this map is additive and φ -linear. In proving this, for clarity we will denote the reduction maps $W_{n+1}(R) \rightarrow W_n(R)$ and $I_{n+1} \rightarrow I_n$ by $x \mapsto \bar{x}$. By definition we have: $\overline{\xi_{n+1}(i)} = \xi_n(\bar{i})$.

Now, for additivity we have:

$$\begin{aligned} \delta_{\pi}(\xi_{n+1}(i+j)) &= \delta_{\pi}(\xi_{n+1}(i) + \xi_{n+1}(j)) \\ &= \delta_{\pi}(\xi_{n+1}(i)) + \delta_{\pi}(\xi_{n+1}(j)) + \sum_{k=1}^{q-1} \frac{1}{\pi} \binom{q}{k} \overline{\xi_{n+1}(i)^{q-k} \xi_{n+1}(j)^k} \\ &= \delta_{\pi}(\xi_{n+1}(i)) + \delta_{\pi}(\xi_{n+1}(j)) + \sum_{k=1}^{q-1} \frac{1}{\pi} \binom{q}{k} \xi_n(\bar{i})^{q-k} \xi_n(\bar{j})^k \\ &= \delta_{\pi}(\xi_{n+1}(i)) + \delta_{\pi}(\xi_{n+1}(j)) \bmod \xi_n(I_n) \end{aligned}$$

For the semi-linearity we have:

$$\begin{aligned}
\delta_\pi(\xi_{n+1}(wi)) &= \delta_\pi(w\xi_{n+1}(i)) \\
&= \varphi(w)\delta_\pi(\xi_{n+1}(i)) + \delta_\pi(w)\overline{\xi_{n+1}(i)}^q \\
&= \varphi(w)\delta_\pi(\xi_{n+1}(i)) + \delta_\pi(w)\xi_n(\bar{i})^q \\
&= \varphi(w)\delta_\pi(\xi_{n+1}(i)) \bmod \xi_n(\mathbf{I}_n)
\end{aligned}$$

Therefore, we have defined a φ -linear map $\mathbf{I}_{n+1} \rightarrow \mathfrak{p} \otimes W_n(\mathbf{R})/\xi_n(\mathbf{I}_n)$ which induces a linear map

$$\varphi^*(\mathbf{I}_{n+1}) \otimes_{W_n(\mathbf{R})} W_n(\mathbf{R})/(\xi_n(\mathbf{I}_n)) \rightarrow \mathfrak{p} \otimes W_n(\mathbf{R})/\xi_n(\mathbf{I}_n).$$

This is a homomorphism of rank one projective $W_n(\mathbf{R})$ -modules and it is an isomorphism as it comes from a distinguished quasi-ideal: locally \mathbf{I}_{n+1} admits a generator i with the property that $\delta_\pi(\xi_{n+1}(i))$ is invertible in $W_n(\mathbf{R})$.

Therefore, $\varphi^*(\mathbf{I}_{n+1})$ is free and as $W_{n+1}(\mathbf{R}) \rightarrow W_n(\mathbf{R})$ is surjective with nilpotent kernel, it follows that we can compatibly lift generators and hence $\varphi^*(\mathcal{I})$ is free.

(iii) By induction we are reduced to the case $n = 0$. As in (ii) let $\xi : \mathcal{I} \rightarrow \mathcal{O}_{W(S)}$ correspond to the compatible system $\xi_m : \mathbf{I}_m \rightarrow W_m(\mathbf{R})$. As $\xi_0 = 0$ it follows that ξ_m factors through $V_\pi W_{m-1}(\mathbf{R}) \subset W_m(\mathbf{R})$ and so we can write each ξ_m uniquely as $V_\pi \circ \beta_m$ where $\beta_m : \mathbf{I}_m \rightarrow W_{m-1}(\mathbf{R})$ is a φ -linear map and by uniqueness we have $\overline{\beta_m} = \beta_{m-1}$.

We then see that $\varphi^*(\xi_m) : \varphi^*(\mathbf{I}_m) \rightarrow W_{m-1}(\mathbf{R})$ is the linearisation of the φ -linear map $\pi\beta_m : \mathbf{I}_m \rightarrow W_{m-1}(\mathbf{R})$. The linearisations of the β_m now define a compatible collection of maps

$$\varphi^*(\mathbf{I}_m) \xrightarrow{\pi \otimes \beta_m} \mathfrak{p} \otimes W_{m-1}(\mathbf{R})$$

such that $\varphi^*(\xi_m) = i_{\mathfrak{p}} \circ (\pi \otimes \beta_m)$. Therefore, we have a morphism of distinguished quasi-ideals

$$(\varphi^*(\xi) : \mathcal{I} \rightarrow \mathcal{O}_{W(S)}) \rightarrow (i_{\mathfrak{p}} : \mathfrak{p} \otimes \mathcal{O}_{W(S)} \rightarrow \mathcal{O}_{W(S)})$$

which by (i) is an isomorphism.

(iv) Arguing locally this follows from (iv) of (2.1.2). \square

2.2. Prisms and Σ .

2.2.1. *Distinguished quasi-ideals over general sheaves.* Let X be a \mathfrak{p} -adic δ -sheaf. A distinguished quasi-ideal over X is a morphism of line bundles $(\xi : \mathcal{I} \rightarrow \mathcal{O}_X)$ such that, for all rings \mathbf{R} and all morphisms $\text{Spec}(\mathbf{R}) \rightarrow X$, the pull-back of $(\xi : \mathcal{I} \rightarrow \mathcal{O}_X)$ along the δ -map $W(\text{Spec}(\mathbf{R})) \rightarrow X$ induced by adjunction is a distinguished quasi-ideal in the sense of (2.1.3).

2.2.2. *Prisms.* A prism is a pair $(X, (\xi : \mathcal{I} \rightarrow X))$ where X is a \mathfrak{p} -adic δ -sheaf and $(\xi : \mathcal{I} \rightarrow X)$ is a distinguished quasi-ideal. A morphism of prisms

$$(f, u) : (X, (\xi_X : \mathcal{I}_X \rightarrow X)) \rightarrow (Y, (\xi_Y : \mathcal{I}_Y \rightarrow Y))$$

consists a δ -morphism $f : X \rightarrow Y$ and a morphism of distinguished quasi-ideals

$$u : f^*(\mathcal{I}_Y) \rightarrow \mathcal{I}_X.$$

Note that u is necessarily an isomorphism.

2.2.3. *Drinfeld's stack* Σ . The \mathbf{R} -points of the \mathfrak{p} -adic stack Σ are given by

$$\Sigma(\mathbf{R}) := \{\text{groupoid of distinguished quasi-ideals } (\xi : \mathcal{I} \rightarrow \mathcal{O}_{\mathbf{W}(\text{Spec}(\mathbf{R}))})\}.$$

We denote by $\varphi_\Sigma : \Sigma \rightarrow \Sigma$ the morphism which on \mathbf{R} -points is induced by pull-back along the Frobenius $\mathbf{W}(\text{Spec}(\mathbf{R})) \rightarrow \mathbf{W}(\text{Spec}(\mathbf{R}))$.

2.2.4. *Σ in terms of distinguished elements.* Write \mathbf{W} for the affine group scheme whose \mathbf{R} -points is the ring of Witt vectors $\mathbf{W}(\mathbf{R}) := \mathbf{W}(\mathbf{R})$. Note that \mathbf{W} is isomorphic to the affine scheme $\text{Spec}(\mathcal{O}\{t\})$.

Abusing notation we will also write \mathbf{W} for $\mathbf{W} \times_{\text{Spec}(\mathcal{O})} \text{Spf}(\mathcal{O})$.

Now, let $\mathbf{W}_{\text{dist}} \subset \mathbf{W}$ denote the subsheaf whose \mathbf{R} -points are those $\xi \in \mathbf{W}(\mathbf{R}) = \mathbf{W}(\mathbf{R})$ which are distinguished. Thus, we have

$$\mathbf{W}_{\text{dist}} = \text{colim}_{n,m} \text{Spec}(\mathcal{O}[t, \delta^{\circ 1}(t)^{\pm 1}, \delta^{\circ 2}(t), \dots]/(\mathfrak{p}^n, t^m)).$$

We write $\mathbf{W}^\times \subset \mathbf{W}$ for the subsheaf whose set of \mathbf{R} -points is the group of units in $\mathbf{W}(\mathbf{R}) = \mathbf{W}(\mathbf{R})$ (Note, can be shown that $\mathbf{W}^\times \rightarrow \mathbf{W}$ is an inverse limit of open immersions).

Then, \mathbf{W}^\times acts on \mathbf{W}_{dist} via multiplication and the map

$$\mathbf{W}_{\text{dist}} \rightarrow \Sigma$$

sending a distinguished element to the associated principal distinguished quasi-ideal identifies Σ with the stack-theoretic quotient

$$\mathbf{W}_{\text{dist}}/\mathbf{W}^\times \xrightarrow{\sim} \Sigma.$$

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