







#### Abstract

We present a novel method to extract subsurface information from spurious headwave arrivals in seismic interferometry (SI). Wavefields recorded at two receivers are crosscorrelated to generate new data as if one of the receivers was a source. In field applications, some of the acquisition requirements necessary in SI are not met, leading to wavefields containing non-physical artifacts-in our case, a virtual refraction. This particular artifact contains useful information about the subsurface, and we use semblance analysis in the crosscorrelation domain to help us estimate the top layer velocity and thickness.

#### Seismic interferometry

The Green's function between two receivers is obtained by crosscorrelating the recorded wavefields from sources located on an enclosing surface around the receivers (Wapenaar and Fokkema, 2006). In exploration seismics, this technique is often called seismic interferometry (SI). In field data applications we make the following assumptions when applying SI.

- All sources lie in the far-field (i.e., the distance from the source to the receivers and scatterers is large compared to the wavelength).
- Rays take off approximately normal from the integration surface S.
- The medium outside the integration surface *S* is homogeneous, implying that no energy going outward from the surface is scattered back into the system.

• The medium locally around a source is smooth (the high frequency approximation).

Following these assumptions, the approximate SI integral is equation 31 in Wapenaar and *Fokkema* (2006):

$$\hat{G}(\mathbf{x}_A, \mathbf{x}_B, \omega) + \hat{G}^*(\mathbf{x}_A, \mathbf{x}_B, \omega) \approx \oint_S \frac{2\hat{G}^*(\mathbf{x}_A, \mathbf{x}, \omega)\hat{G}(\mathbf{x}_B, \mathbf{x}, \omega)}{\rho(\mathbf{x})c(\mathbf{x})} dS.$$

This is the far-field approximated version, requiring only monopole sources in the integral. Figure 1 illustrates this concept for a homogeneous medium with a single scatterer following an experiment by Snieder et al. (2008). The numerical data are modeled using the spectral element method (Komatitsch and Vilotte, 1998).



Figure 1: Left: model showing scatterer, sources  $(s_l)$  and receivers  $r_A$  and  $r_B$ . Middle: the crosscorrelation of wavefields at  $r_A$  and  $r_B$  for each source. The crosscorrelation gather contains events related to the crosscorrelations of the direct and scattered waves. When we sum over sources, we obtain an accurate Green's function with correct direct and scattered arrivals (right).

We replace the single scatterer by a scattering interface and add an array of receivers. The model is shown in Figure 2. After crosscorrelating and summing over sources for each receiver, we create the virtual shot record on the right. The virtual refraction is visible in the new shot record, coming from the correlation of refracted waves at each receiver.



Figure 2: Left: acoustic refraction model. The lower medium has a faster acoustic velocity than the upper medium leading to head-waves for sources past the critical offset. Right: the virtual shot record-the refraction artifact is denoted in red.

#### The critical offset

The critical offset

$$\mathrm{m}( heta_c)\equiv rac{v_1}{v_2}=rac{x_c/2}{\sqrt{\left(x_c/2
ight)^2+H^2}} \Leftrightarrow x_c=rac{2v_1H}{\sqrt{v_2^2-v_2^2}}$$

is equal to the location of a stationary-phase point in the crosscorrelation related to the travel time difference between the reflected wave at  $r_A$  and the refracted wave at  $r_B$  (*Mikesell et al.*, 2009).

# **S31A-1898:** Exploiting head-wave artifacts in seismic interferometry

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Figure 3: Left: parameters used in the stationary-phase point derivation of the critical offset. Right: example crosscorrelation gather from the receiver at 400 m offset in Figure 2. The critical offset is identified by the extremum of  $T_{xcor}$ .

The travel time difference curve  $(T_{diff})$  associated with the critical offset in the crosscorrelation domain is given by  $T_{diff} = T_{refr}(r_B) - T_{refl}(r_A)$ , where

$$_{efl}(r_A) = \sqrt{\frac{|r_{l,A}|^2}{v_1} + \frac{2H^2}{v_1}}$$
 and  $T_{refr}(r_B) = \frac{2H\cos\theta_c}{v_1} + \frac{|r_{l,B}|}{v_2}.$ 

The source number is l and we can rewrite the term  $|r_{l,B}|$  as  $|r_{l,A} + r_{A,B}|$ . Substituting this term into  $T_{refr}(r_B)$  we get

 $T_{diff} = T_{refr}(r_A) - T_{refl}(r_A) + \frac{|r_{A,B}|}{m}.$ 

The stationary-phase point associated with the minimum of  $T_{diff}$  is found by setting the derivative equal to zero and solving for  $|r_{l,A}|$ .

$$\begin{split} &\frac{d}{dr_{l,A}} \left( T_{refr}(r_A) - T_{refl}(r_A) + \frac{|r_{A,B}|}{v2} \right) = 0 \;\; \Leftrightarrow \\ &\frac{d}{dr_{l,A}} \left( \left( \frac{2H\cos\theta_c}{v_1} + \frac{|r_{l,A}|}{v_2} \right) - \sqrt{\left(\frac{|r_{l,A}|}{v_1}\right)^2 + \left(\frac{2H}{v_1}\right)^2} + \frac{|r_{A,B}|}{v2} \right) = 0 \;\; \Leftrightarrow \\ &\frac{1}{v_2} - \frac{|r_{l,A}|}{v_1\sqrt{|r_{l,A}|^2 + (2H)^2}} = 0 \;\; \Leftrightarrow \;\; |r_{l,A}| = \frac{2v_1H}{\sqrt{v_2^2 - v_1^2}} = x_c. \end{split}$$

## Semblance analysis

Because we know  $T_{diff}$  we apply a crosscorrelation do-main semblance technique (*King et al.*, 2010) to estimate the upper layer velocity and thickness. We calculate  $T_{diff}$  for various combinations of velocity  $(v_1)$  and thickness (H)-taking velocity  $v_2$  from the slope of the virtual refraction. We plot three of these curves in the left plot of Figure 5. We define semblance as

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$$S = \sum_{i=v_{1,max}}^{v_{1,max}} \sum_{i=h}^{h_{max}} rac{E_{i,j}^{out}}{N imes E_{i,i}^{in}}$$



Figure 4: Acoustic refraction model used in the following section. Source increment is 2.5 and receiver increment is 4 m.

 $i=v_{1,min} j=h_{min}$ where N equals the number of sources in the crosscorrelation gather. The numerator and denominator are the output energy  $(E^{out})$  and the input energy  $(E^{in})$  defined as

$$\sum_{i,j}^{out} = \sum_{t(k)=\delta t-t/2}^{\delta t+t/2} \left( \sum_{l=1}^{N} f_{i,j,l,t(k)} \right)^2 \text{ and } E_{i,j}^{in} = \sum_{t(k)=\delta t-t/2}^{\delta t+t/2} \left( \sum_{l=1}^{N} f_{i,j,l,t(k)}^2 \right)^2$$

where f is the crosscorrelation function between two receivers and  $\delta t$  is a time window (*King* et al., 2010).



Figure 5: Left: crosscorrelation gather for receiver  $101 \sim 400$  m from the virtual source (receiver 1). Right: semblance panel for receiver 1 crosscorrelated with receiver 101. The colored lines in the left plot map to the corresponding dots in the right plot.





Figure 6: Crosscorrelation gathers for receivers-starting at the maximum offset and moving closer to the virtual shot location.



Figure 7: Semblance panels for receivers-starting at the maximum offset and moving closer to the virtual shot location.





Figure 8: Left: crosscorrelation gather at receiver 101. We add enough noise so that the stationary-phase point is no longer visible by eye. Middle: semblance panel for the single crosscorrelation gather. Right: semblance panel after stacking semblance panels from receivers 41 to 101. Stacking now makes it possible to estimate the thickness and velocity.



rash et al., 1999).



Figure 10: Left: real shot record from BHRS active seismic refraction survey. Middle: virtual shot record. Right: example crosscorrelation gather from Nichols et al. (2010).

Success of the semblance technique depends on the offset between receivers as shown in Figure 7 Note that the correct maximum is not visible at close offsets. This is because the stationary-phase point overlaps in space and time with other stationary points in the crosscorrelation gather. For receivers 21 and 31, we see that the stationary-phase point overlaps with other energy related to the strong direct wave. The true model is h=50 m and velocity=1250 m/s. Because the semblance gives the same information for each pair of receivers that we crosscorrelate, we can stack semblance panels together in order to increase the signal-to-noise. This is illustrated in Figure 8



## A controlled field data example

We acquired a 2D refraction data set at the Boise Hydrogeophysical Research Site (BHRS) to determine water-table depth from the surface and seismic wave velocities. The BHRS is a research well-field near Boise, Idaho (USA), developed to study properties of heterogeneous aquifers using hydrogeological and geophysical tools (Bar-



Figure 9: BHRS seismic model.

The virtual refraction is the first arrival in the virtual shot record with estimated velocity near the known saturated sediment velocity. Due to stacking in the interferometric result, we see the ambient noise is suppressed in the virtual shot record. Based on the crosscorrelation gather in Figure 10, it is difficult to estimate the stationary-phase point in the data. In this case, the manual critical offset analysis maybe not the best choice to estimate subsurface parameters. Therefore, we implement semblance analysis to estimate the parameters controlling the stationary-phase point (Figure 12). The complete crosscorrelation is shown in Figure 11 (left) with the theoretical  $T_{diff}$  drawn on the right.



Figure 11: Left: complete crosscorrelation gather at BHRS. Right: same crosscorrelation gather with theoretical  $T_{diff}$  curve calculated from the estimated velocities and water-table depth (green). Red and cyan curves are those from Figure 3.





The semblance approach is a robust tool to estimate the slow layer thickness and velocity between the virtual shot position and the source at the critical offset. Because of this, we would like to investigate statics estimation using the virtual refraction analysis. The plots in Figure 13 show a shot record (left) and crosscorrelation gather (right) from a land exploration seismic experiment. A possible stationary-phase point is visible in the right plot; therefore, we believe that we can estimate the weathering layer thickness and velocity along this 2D line.





- practice.

- blance technique.

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### Future work: toward estimating statics

#### Conclusion

• Spurious head waves in applications of seismic interferometry are often present because requirements for exact recovery of the Green's function between receivers cannot be met in

• We estimate the velocity of the faster layer from the slope of the virtual refraction.

• We estimate the velocity and thickness of the slower layer through semblance analysis of the travel time difference equation  $(T_{diff})$  between reflected energy at the virtual shot location and head-wave energy at the other receiver.

• The semblance is sensitive to correctly picking the arrival time of the virtual refraction.

• We need to develop a method to estimate the error in the parameter estimates using the sem-

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