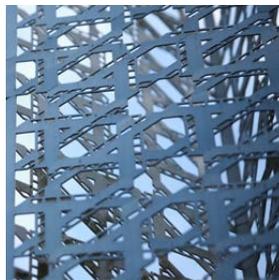
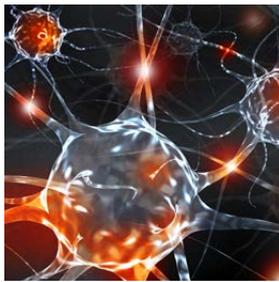




The University of Auckland Foundation

ANNUAL REPORT | 2015



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MISSION STATEMENT

To support the advancement of knowledge
and learning in New Zealand.

DIRECTORY

The University of Auckland Foundation Trustees

Registered NZ Charities Services, no. CC10985



Geoff Ricketts (Chair), Peter Hays (Chair, Audit Committee),
David Carter, Hugh Fletcher, Liz Hickey, Jonathan Mason
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School of Medicine Foundation Trustees

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Auditors

Grant Thornton

Solicitors

TGT Legal

Bankers

BNZ, 80 Queen Street, Auckland

Investment Consultants

Cambridge Associates Limited, Sydney and Boston

Address for Correspondence



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Manager, The University of Auckland Foundation
Secretary, School of Medicine Foundation
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Thank you

to all those who saw a need

to all those who felt inspired

to all those who recognised something of themselves

to all those who saw a chance to help

to all those who wanted to be part of a solution

to all those who imagined change

Thank you to all our generous donors

Because you responded

we are helping to make a better world for us all



Report from the Chair 2015



The Foundation had another strong year in 2015, receiving \$17.1 million of gifts and earning \$6.2 million on its investments, while making distributions of \$6.8 million. It is satisfying to see distributions increasing, because it demonstrates that the Foundation is fulfilling its objectives in making grants to the University for the purposes specified by the original donors.

The Foundation's Endowment Investment Pool of \$108 million, which contains both the Foundation's and the School of Medicine's endowment gifts, continued its strong growth and returned a very creditable 8.3% for the year.

It has been my pleasure to chair the Foundation for 15 years now, to see its growth from very modest beginnings and its joining forces with the University of Auckland School of Medicine Foundation. The combined foundations now manage assets in excess of \$148 million and, most significantly, the endowment pool passed the milestone of \$100 million late last year. One of the most attractive features of both foundations is that every dollar gifted is spent solely on the charitable purpose for which it was given.

Both foundations contain advisory subcommittees which give donors or board members of charitable trusts the opportunity to give advice on the use of the monies they have donated. In 2015 the Foundation was very pleased to welcome board members from the Liggins Institute Trust (LIT) as advisors to the LIT funds within the Foundation. Now that the Foundation is taking care of the regulatory and investing responsibilities, the LIT has decided to wind itself up and the advisory subcommittee can focus on ensuring those funds flourish and are distributed wisely to support the Liggins Institute.

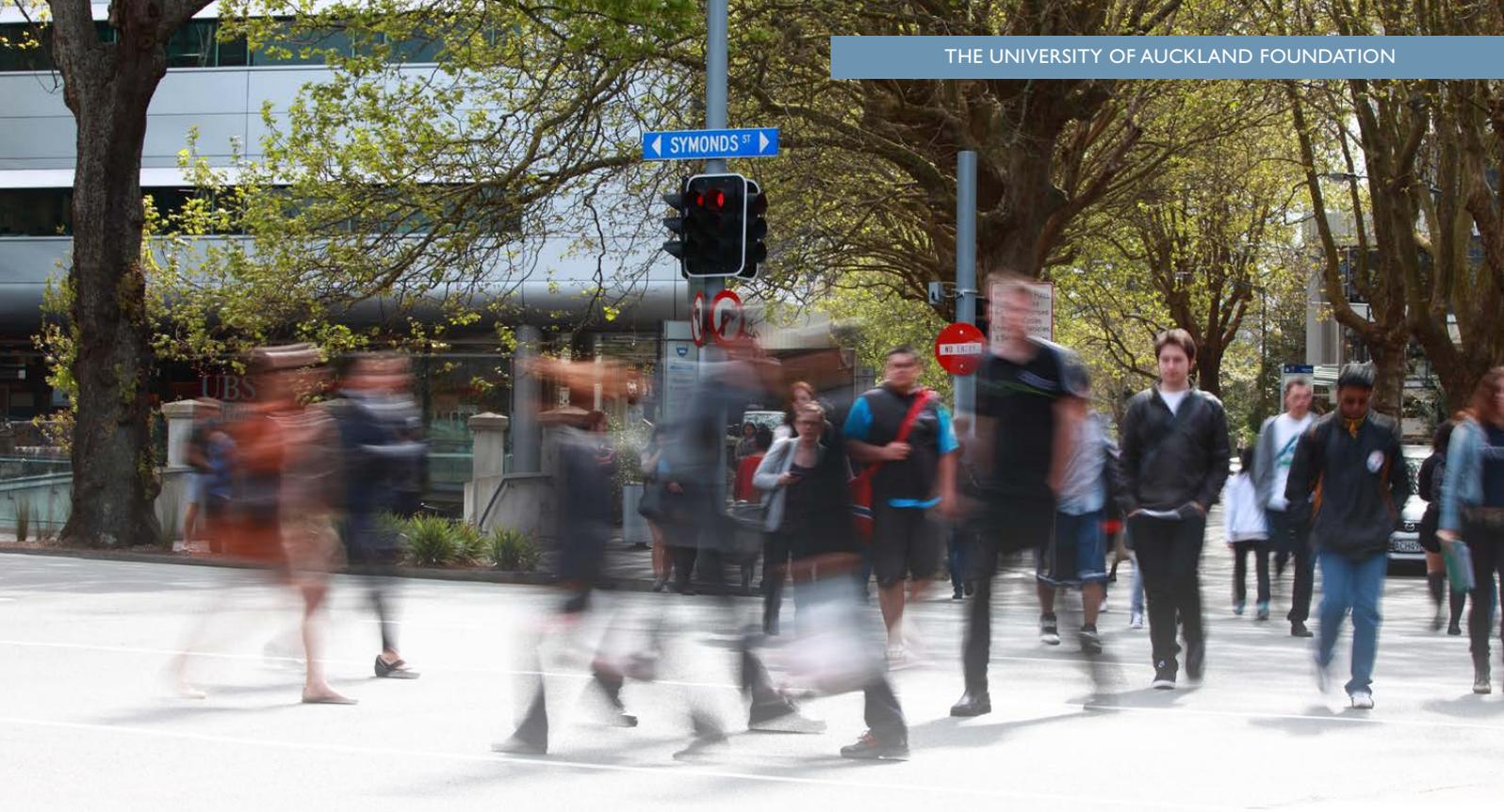
Growing the endowment pool to support university education and research is critically important and we cannot achieve that without private philanthropy; thank you so much to all our donors.

The rationale for establishing the Foundation is as valid today as it was 15 years ago – namely to support the University in the advancement of knowledge and the dissemination thereof by teaching and research, and assisting students to pursue courses of tertiary study at a high-quality institution. The University primarily teaches youth. As such, its role is indispensable, because youth is synonymous with creativity and we need creativity and innovative thinking to build a better world and a better New Zealand.

In closing, I would like to thank all my fellow trustees and the staff members who serve the Foundation, particularly Cecilia Tarrant as chair of the Investment Committee and Peter Hays as chair of the Audit Committee. Peter has indicated 2015 will be his last year as chair; he inaugurated this role and has been a dedicated and faithful servant of the Foundation. Finally, and most importantly, I must thank all of you who have so generously supported the Foundation over the years with your gifts.

A handwritten signature in black ink that reads "Geoff Ricketts".

Geoff Ricketts
Chair, Board of Trustees



The University of Auckland Foundation

Through the generosity of our many donors, the University of Auckland Foundation has supported research, teaching and learning that will benefit New Zealand today and into the future. On the pages to follow are some of the highlights from 2015.

PRESBYTERIAN METHODIST CONGREGATIONAL FOUNDATION PROVIDES RESIDENTIAL SCHOLARSHIPS

A generous donation from the Presbyterian Methodist Congregational (PMC) Foundation in December 2015 will provide students in need with residential scholarships and the life-long opportunities that higher education brings.

The PMC Foundation sold its 202-bed Grafton Hall student residence to the University and used the proceeds to endow the Presbyterian Methodist Congregational Grafton Scholarships, which will provide residential scholarships for undergraduate students.

“The donation by the PMC Foundation is an extremely generous one which will benefit the University and students in need for years to come,” says Vice-Chancellor, Professor Stuart McCutcheon.

“We have a long-standing association with the PMC Foundation and the endowed gift will ensure help is at hand for students who cannot afford to fund their accommodation, and also help us fund pastoral care initiatives for our students.”

The first three-year undergraduate student scholarships will be available in 2017. Initially up to 15 scholarships will be available and the number will increase to as many as 45 scholarships yearly from 2019 and thereafter.

Mel Easton, a member of the PMC Advisory Committee that will assist with the initial administration of the scholarships, says: “By establishing the residential scholarships, the PMC Foundation will ensure its original purpose, of providing accommodation for University of Auckland students, continues to be achieved, even though the Foundation has wound up its activities.”

The endowment will assist school-leavers who have the potential to succeed at University and who, because of financial hardship, may not otherwise be able to undertake university studies. It will create life-changing opportunities and generate a “cycle of achievement” for under-represented groups of the population.

The PMC Foundation has gifted its resources to a specific named fund within the University of Auckland Foundation, which will take responsibility for carrying out the purposes of the original trust, and for administration and reporting.

Donor: Presbyterian Methodist Congregational Foundation



THE POWER OF LEGACY GIVING

A generous bequest from an alumnus is helping to build a bright future for talented Architecture students.

The bequest is from Murray Wren, who was a lifelong professional architect and who qualified in Architecture at the University of Auckland.

It has enabled the University to set up an undergraduate scholarship in Architecture, awarded annually and covering the three years of the degree; a Masters scholarship in Architecture, awarded annually and covering the two years of the degree; and a doctoral scholarship awarded once every three years.

The Head of the School of Architecture, Associate Professor Julia Gatley, met Murray in London in 2013.

“Murray trained here in our School in the 1940s. He was about the same generation as the well-known cohort of students who formed the Group Construction Company in 1949, and later became Group Architects. He spent most of his career in London. He maintained a friendship with Group Architect Bruce Rotherham, who also lived in London for most of his adult life.

“I met Murray in 2013, when I was researching Bruce's work. Murray recalled great chess games with Bruce, and when the two were too elderly to commute to each other's apartments on opposite sides of the city, they continued their chess competition by declaring their moves over the phone. He also enjoyed photography and drawing.

“Of his own education, he remembered finding the move to Auckland difficult, because of the cost, and it almost put him off doing it. In setting up the scholarships, he really wanted to assist people with limited finances, particularly those from outside Auckland who might be in two minds about studying because of the cost, to be able to realise their dream of becoming an architect.”

Donor: Murray Wren

NEW DIRECTOR FOR NZ ASIA INSTITUTE AIMS TO ACCELERATE THE DEVELOPMENT OF TEACHING AND RESEARCH

New Zealand is changing. Auckland is New Zealand's most multicultural city, its biggest business hub, and home to the country's largest Asian population.

As the global business environment becomes ever more connected, the role of the University of Auckland's New Zealand Asia Institute grows increasingly important.

Thanks to a generous \$1.2 million donation from the MSA Charitable Trust, the University is excited to welcome Professor James Sun as Director of the New Zealand Asia Institute, and Chair in Management at the Business School.

Professor Sun began his role in January 2016, and is working to accelerate the University's leading national role in the study of Asia, strengthening cross-disciplinary cooperation and establishing lasting external networks and relationships.

Professor Sun's current research focuses on the relationship between high-performance work systems and employee well-being and authoritarian leadership and employee attitude and behaviour. He also teaches a Masters course and has strong ambitions to develop the New Zealand Asia Institute, broadening its reach and impact.

The Institute was established by the University in 1995 as a response to the growing importance of Asia to New Zealand politically, economically and culturally.

The MSA Charitable Trust is an active supporter of the University of Auckland's Business School and also funds PhD scholarships for outstanding scholars. Its generous support makes a substantial impact on the Business School as it thrives in New Zealand's multicultural business environment.

Donor: MSA Charitable Trust





PRESTIGIOUS EXCHANGE FROM MAHURANGI HARBOUR TO BODEGA BAY

Support from the George Mason Charitable Trust has funded a prestigious travel scholarship for PhD student Jenny Hillman from the Institute of Marine Science. The scholarship financed Jenny on an exchange to the University of California, Davis, to aid her research into New Zealand's ecosystems.

Jenny was the first recipient of the prestigious scholarship, which supports postgraduate research in Marine Science.

During her time in California, Jenny was based at the UC Davis Stachowicz Marine Community Ecology Lab, the Williams Lab, and the Grosholz Lab, where she spent her time broadening her research into ecosystem processes, mapping and management.

“My time at UC Davis helped my work by providing me with new field techniques that I have since applied in my experiments in New Zealand,” Jenny says.

In New Zealand, Jenny's research is based at Mahurangi Harbour. There, she investigates how the connections between adjoining habitats affect an ecosystem's ability to provide 'services' such as food, recreation and climate and disease control to humans.

The visit provided Jenny and UC Davis researchers the opportunity to compare and contrast the work in California with a complementary region.

“I was able to interact with researchers working on a wide range of subjects and specialties on a face-to-face and one-to-one basis. This has led to collaboration on a paper with a PhD student from UC Davis, and consultations on my PhD papers with several professors from UC Davis,” Jenny explains.

Because of the scholarship, Jenny also had the opportunity to attend and present at a conference organised by UC Davis.

“These conferences are invaluable for getting my work out to the wider research audience, as well as for creating connections that will be key for my career in the future,” says Jenny.

“These conferences can be difficult to attend because of the distances required to travel from New Zealand so the exchange programme had additional benefits in this respect,” says Jenny.

The scholarship to UC Davis was established by the George Mason Trust. George Mason is an alumnus of the University of Auckland, holding a Masters with Honours in Botany, as well as a PhD from the University of California, Davis, in Plant Physiology. This scholarship allows students from both institutions to benefit from his support.

Donor: George Mason Charitable Trust

TAKING CARE OF OUR COASTS

A vital ecological component of New Zealand's coastline is under pressure. Human activities both onshore and off are degrading and destroying New Zealand's once thriving shellfish beds.

Thanks to a generous donation from the McCrae family, the Institute of Marine Science at the University of Auckland has embarked on a project which aims to restore shellfish beds around New Zealand's coasts in order to gain a better understanding of how they benefit the marine environment.

The \$200,000 funding has enabled the team at the Institute of Marine Science to make real and tangible restoration efforts. Known benefits of shellfish beds include filtering sediments from seawater, providing juvenile fish nurseries, stabilising the seabed and greatly advancing biodiversity. Yet regardless of their advantages, active restoration of coastal ecosystems is fairly limited, both nationally and globally.

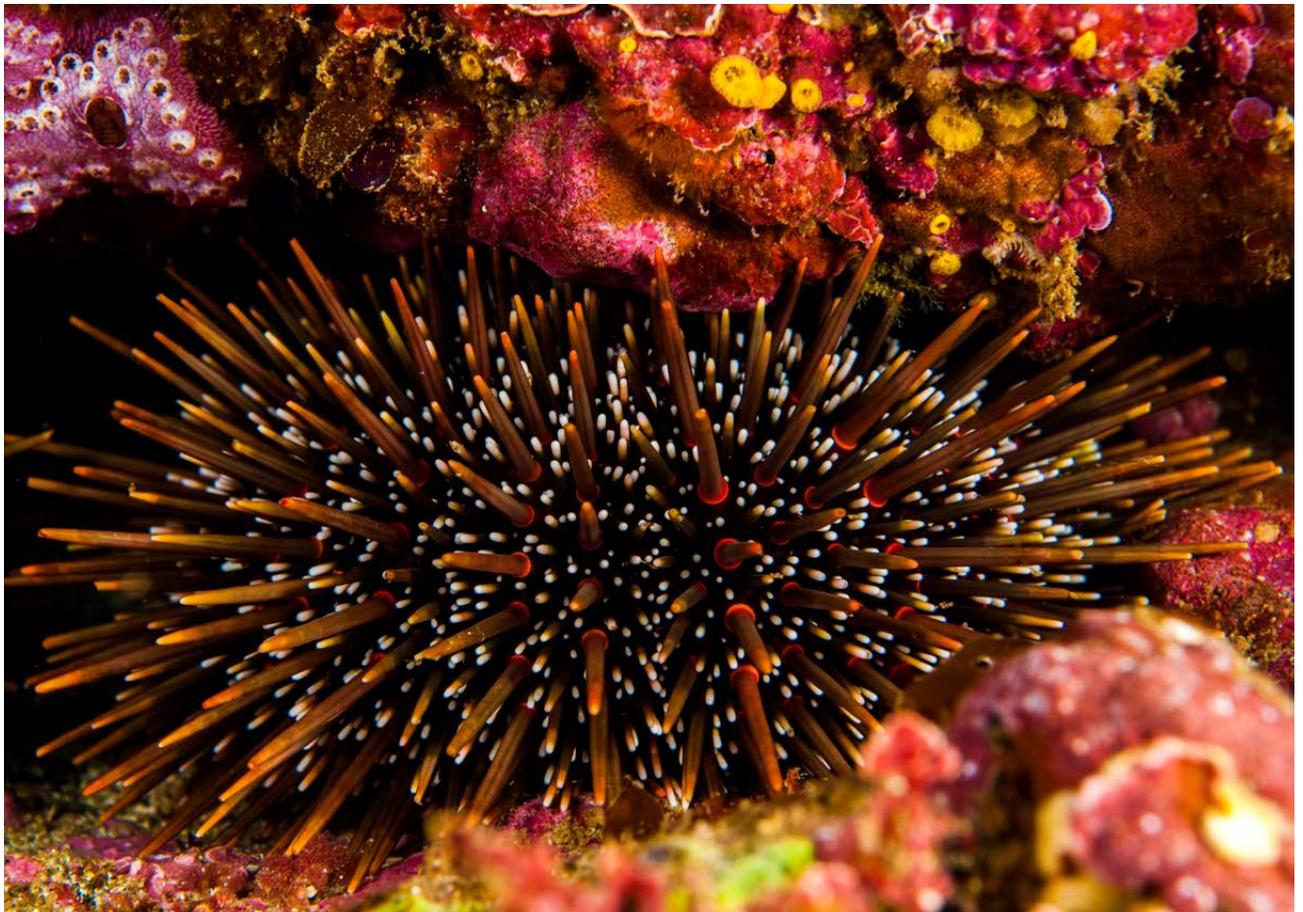
“New Zealanders recognise there is a problem but don't know how to tackle it. We want to change that,” says the Institute of Marine Science's Director, Professor Simon Thrush.

Support from the McCrae family has helped the Institute to take significant steps in the right direction.

So far research is progressing well, with student Nichols Lao identifying local sources of most of the major seaweeds. These seaweeds are significant as they act as a settlement and nursery structure for the early stages of mussels. He is now experimenting with transplanting the seaweeds from one location to another, with the goal of transplanting them into restored mussel beds, and thereby providing an ongoing nursery for recruiting mussels.

By bringing together high-quality science and community initiatives, Simon believes that their work will transform current international best practice and empower New Zealanders to become global leaders in community-led marine restoration.

Donor: McCrae family



Cryptic sea urchin Photo: Arie Spyksma

NEW CEREBRAL PALSY STUDY AT LIGGINS INSTITUTE

Cerebral palsy is the most common cause of physical disability in childhood, affecting two in every 1000 children. Until recently, treatments available for the disorder's effects have been limited.

Financial support from the Jubilee Crippled Children Foundation has funded critical research at the Liggins Institute into Whole-Body Vibration Training (WBVT) as a new and innovative treatment for adolescents with cerebral palsy.

The clinical trial found that WBVT can significantly improve mobility, bone strength and muscle mass in adolescents with cerebral palsy. The \$330,000 donation allowed lead researcher and Liggins Institute research fellow Dr Silmara Gusso and her team to conduct the largest and most rigorous study of WBVT to date, confirming and elaborating on the benefits of the treatment.

The trial involved 40 adolescents standing on a specifically designed vibration plate for nine minutes a day, four times a week. The plate vibrates and moves in a see-saw motion causing a tilting movement of the pelvis similar to the motion of walking, only much more frequently.

"We hypothesised that they would increase their bone and muscle mass, which happened. What we did not expect was the feedback from parents and physiotherapists mentioning that the kids' day-to-day function was also improving."

"Some kids were walking 100m more or 30 per cent further in a 6-minute walk test. That might not sound like much to us, but being able to walk an extra 100m is extraordinary for them," says Dr Gusso.

Thanks to the generous funding from the Jubilee Crippled Children Foundation, WBVT treatment will make a real difference in improving the quality of life in adolescents with cerebral palsy.

Dr Gusso and her team are now conducting follow-up trials to ascertain the best training regime. They hope that WBVT will be introduced into all physical therapy sites across New Zealand.

Donor: Jubilee Crippled Children Foundation

SUPPORTING EQUITY IN EDUCATIONAL OUTCOMES

Support from the MSA Charitable Trust is funding an invaluable post-doctoral research position at the Woolf Fisher Research Centre to design, lead and implement a research project into the Summer Learning Effect.

The Summer Learning Effect is where students' school literacy achievement plateaus or declines over the summer period, limiting their achievement over time. The effect is prevalent in low socio-economic schools and can be a key element of under-achievement. This has some major implications and without intervention, gains made during the year may be lost.

Dr Rachel Williams undertook the research fellowship in November 2015. She is tasked with designing, implementing and evaluating the impact of participation in a holiday blogging programme on student literary scores.

To date, Rachel has finished the first phase of the project, an activity-based blogging programme called Summer Learning Journey. This was trialled over the 2015-16 holiday break by students from three of 12 schools participating in the Manaiakalani education programme.

"The response was overwhelmingly positive. The students really enjoyed the programme. They participated actively and, over the three week programme, completed hundreds and hundreds of high quality blogs," says Rachel.

Teachers whose students had been participating in the programme have also been pleased.

"The teaching staff in the three schools expressed their gratitude and appreciation that we were offering their students a chance to extend their learning over the summer," says Rachel.

Rachel is enthusiastically launching into the second design phase of the project where there will be a full scale introduction of the holiday blogging programme across all 12 Manaiakalani schools.

With the kind support from the MSA Charitable Trust, Rachel is making a real difference in reducing the Summer Learning Effect, and is helping to provide equity in educational outcomes in low socio-economic communities.

Donor: MSA Charitable Trust





LEGACY OF KNOWLEDGE

Ralph and Eve Seelye continue to inspire education through their prestigious visiting fellowship programme at the University of Auckland. The University was honoured to host a number of seminars and lectures by the world-renowned Professor of Neurobiology at the University of Cambridge, Professor Jenny Morton, who was one of five Seelye visiting fellows in 2015.

Professor Morton's research involves understanding the mechanisms underlying neurodegeneration, and developing strategies to delay or prevent the death of neurons in injured or degenerating brains. While in New Zealand, Professor Morton gave a number of formal presentations, each personalised to different audiences including postgraduates in the Medical School and a group of senior University women.

"All presentations were unique and each directed to quite different audiences, but all sharing valuable scientific and personal information and stimulating much discussion," says Professor Louise Nicholson, who invited Professor Morton to speak at the University of Auckland.

Through the visiting fellowship programme, prestigious researchers have the opportunity to share their knowledge with the University and wider communities while inspiring others in their fields of research.

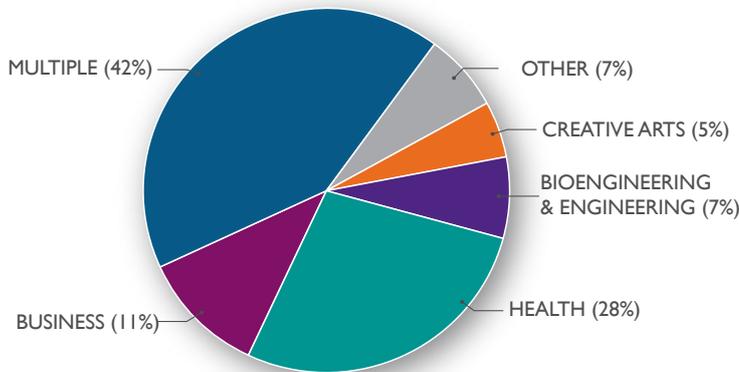
"For New Zealanders like me who work abroad, the opportunities of returning in a professional capacity are few and far between, so I really appreciate the foresight of Drs Ralph and Eve Seelye in leaving the legacy that provided for the fellowship," says Professor Morton.

The Seelye Charitable Trust was established in 2004. In 2012, the Trust established a new postgraduate scholarship programme to sit alongside the visiting fellowship programme. Then, in 2013, it set up an undergraduate programme directly with low-decile schools to assist school-leavers who have the potential to succeed at University but, because of financial hardship, may not otherwise be able to undertake a university degree.

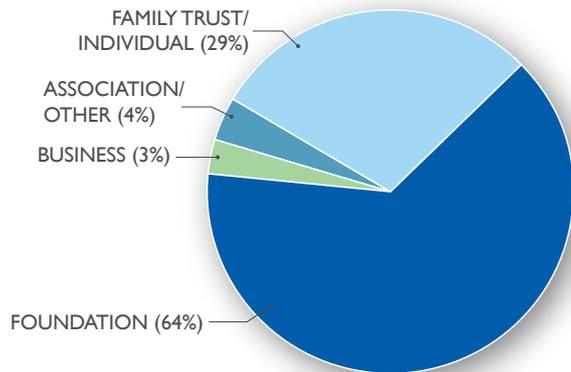
Donor: Ralph and Eve Seelye Charitable Trust

Gifts Received

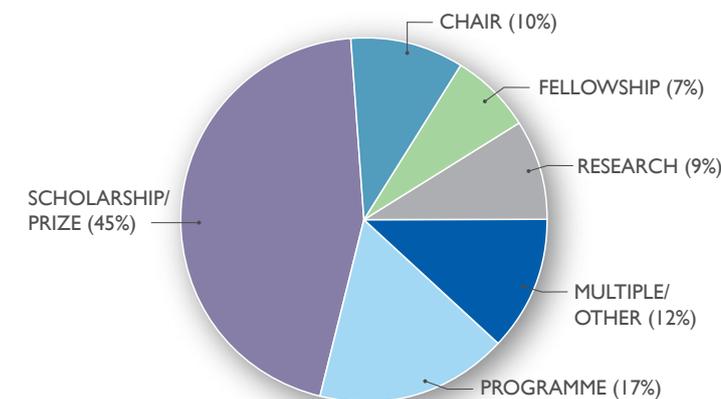
GIFTS PER ACADEMIC DISCIPLINE 2015



GIFT SOURCES 2015



GIFT TYPES 2015



UNIVERSITY OF AUCKLAND FOUNDATION:

1,664 donors; 2,130 gifts

SCHOOL OF MEDICINE FOUNDATION:

200 donors; 293 gifts.

GIFTS FROM ORGANISATIONS IN 2015

- Anonymous (3)
- Albany Junior High School
- Argosy Property Management Limited
- Auckland Mathematical Association
- Auckland Private Education Charitable Trust
- Auckland War Memorial Museum
- AUEA Charitable Trust
- Bankside Chambers
- Barfoot & Thompson
- Bayleys Real Estate Ltd
- BDO New Zealand
- Cognition Education Trust
- Colliers International
- Darnley Corner Wines
- Deloitte
- Edgewater College
- Elim Christian College
- Employers and Manufacturers Association (Northern)
- Energy Education Trust of New Zealand
- Ernst & Young
- Farm Cove Intermediate School
- Fisher & Paykel Appliances
- Fisher & Paykel Healthcare
- Fletcher Building Employee Educational Fund
- Fonterra Co-operative Group Ltd
- Foundation North
- Four S Trust
- Friends of the University of Auckland (US)
- George Mason Charitable Trust
- Giltrap Group Holdings
- Glen Eden Intermediate School
- Glendowie College
- Goodman Property Services (NZ) Ltd
- Great Mercury Island Limited
- GS Handyman Solutions Limited
- Hampton Jones Property Consultancy Ltd
- Harbour City Funeral Home
- In Vitro Technologies
- Jones Lang LaSalle Ltd
- Jubilee Crippled Children Foundation Trust Board
- Kaikohe Christian School
- Kelston Girls' College
- KPMG
- Liggins Institute Trust
- Mansons TCLM Limited
- MSA Charitable Trust
- MYOB NZ Ltd
- Onehunga High School
- Orion Health
- Pakuranga College
- Pearson Australia
- Presbyterian Methodist Congregational Foundation (Inc)
- PricewaterhouseCoopers
- Public Art Foundation
- Royal Institution of Chartered Surveyors NZ
- Sacred Heart College (Auckland)
- Somerville Intermediate School
- Talors College
- The Chartwell Trust
- The Ferryhough Visual Arts and Education Trust
- The Johnston Foundation
- The Kate Edger Educational Charitable Trust
- The UK Friends of the University of Auckland
- Trans-Tasman Business Circle NZ
- Wright Family Foundation

GIFTS FROM INDIVIDUALS IN 2015

Anonymous (248)	Bentley, Mark	Cameron, Craig	Coulter, Annette	Ensing, Riemke	Godden, Norman
Abernethy, Melanie	Berg, Melanie	Cameron-Gavin, Dorothy	Coutinho, Karyn	Erabelly, Vinod	Gokul, Akilesh
Abrahams, Valerie	Berg, Peter	Campbell, Patricia	Coutts, Judith	Erceg, Sylvia & Ivan	Goldsmith, Michael
Ahlquist, Lois	Bergers, Robert	Candy, Glen	Cowley, Graeme	Evans, Jim	Goldwater, Nick
Ahmed, Jafar	Bergin, Rebecca	Carapiet, Jon	Cox, Andrew	Evans, Margaret	Goodfellow, Bruce
Aimer, Peter	Berman, Keith	Cardon Smith, Natalya	Craig, Elaine	Faasse, Robert	Goodyear, Alan
Akel, William	Bernie, Nigel	Carey, Bruce	Craig, Ross	Faesen Kloet, Jeannette	Goodyer, Julie
Alberts, Ruth	Bevan, Julie	Cargill, Thomas	Crawford, Janet	Fair, Trina	Gordon, Andrew
Alexander, Fraser	Bhana, Hema	Carnahan, John	Crompton, James	Fairburn, Hanno	Gourley, John
Ali, Jyoti	Bhowmic, Avijit	Carroll, Dwayne	Cronin, Gareth	Faire, John	Govorko, Olga
Alican, Joahna	Bidois, Dan	Carter, David	Cronin, Michael	Fairley, Arthur	Gow, James
Alison, Elizabeth & Jack	Bidwell, Vincent	Carter, Judith	Crossley, Mark	Fairweather, Keith	Gower, Stuart
Allan, Christopher & Natalie	Bidwill, Anna	Carter, Neil	Cumberbeach, Bernard	Faleatua, Faatoafe	Gowing, Peter
Allan, George	Bialeski, Karen	Carter, Walter	Curham, Thomas	Falgar, Lorraine	Graham, Louisa
Anderson, Ngaire	Bialeski, Roderick	Caughey, Michael	Currie, Winifred	Familton, Keith	Graham, Shiela & John
Anderson, Stephen	Bierens, Peter	Chadderton, Neroli	Cusack, Brian	Farmiloe, Sarah	Grant, Joan
Andrews, Gaye & Michael	Bigwood, Rick	Challis-Morrison, Sue	Cussen, Chris	Farrow, Jackie	Gravatt, David & Philippa
Ang, Yen Peng	Biland, Alex & Rosemarie	Chambers, Deborah & Robert	Cutler, Desmond	Fehl, Peter	Gray, Rosheen
Anthony, Indumathy	Billings, Ian	Chan, Patrick	Daddy, Andrew	Fennell, Gilbert	Gray, Sheila
Antonievich, Jackie	Billington, John	Chan, Raymond	Dadson, Phil	Fenwick, Stephen	Gray, Stuart
Apperley, Mark	Bird, Clinton	Chan, Wing	Dalziel, Raewyn	Ferguson, Lynne	Greenwood, Jacqueline
Apperley, Roger	Birdling, Melisa	Chandra, Vijay	Dang, David	Ferguson, William	Gregory, John
Argar, Paul	Bisacre, Robert	Chang, Vicky	Danswan, Chad	Ferrier, Mary Ann	Gregory, Leeane
Armishaw, David	Bisphan, Alfred	Chang, Wen & Shun-Chieh	Datson, Gregory	Field, Jonathan	Greig, David
Armishaw, Richard	Blackman, John	Channings, Ann	Davenport, John	Firth, Derek	Grey, Alison
Armstrong, Rosemary	Blackshaw, Quentin	Chao, Eric	Davenport, Kate	Firth, Norman	Grierson, David
Arnoux, Rosemary	Blackwell, James	Chaplin, Kent	Davies, Piers	Fischer, Deborah	Griffiths, Gae
Arthur, Michael	Blackwell, Liz & Paul	Chapman, Ralph	Davies, Robert	Fisher, Alan	Grove, Arthur
Ashby, Colin	Blaha, Francisco	Chappell, Robert	Davis, Gabrielle	Fisher, Irene	Gu, Leah
Asher, Innes	Blair, Muriel	Charman, Diane	Davis, Kathyrne	Fisk, Catherine	Gudmundsson, Halldor
Asher, Raynor & Chrissy	Blakeley, Margaret	Charman, Lesle	Davy, Margaret	Fistonich, Karen	Gummer, Barbree
Askew, Liz	Blanchard, Peter	Chatfield, Graeme	Dawson, Russ	Fitzpatrick, Keith	Gundersen, Megan
Atkins, Barry	Blockley, Craig	Chaudhary, Nirmal	Day, Kelvin	Fitzpatrick, Nick	Gunn, Madeline
Atkins, David	Blomfield, Monty	Cheah, Lian-Heng	Day, Roger	Fletcher, Alastair	Gust, Judith
Au, Cecilia	Bloomfield, Frank	Cheang, Gerald	Daynes, Ian	Fletcher, Bronwyn	Guthrie, David
Aucott, Clive	Blow, Graham	Chen, Alex	De Courcy, Nevinson	Fletcher, Hugh	Guthrie, Margaret
Austin, Janet	Blumenfeld, Nina	Chen, Jian	De Grut, Bruce	Flower, Richard	Hadden, Bruce & Wendy
Auton, Dianne	Blythe, Ivan	Chen, Min-Huey	De Roo, Elizabeth	Foley, Guyon	Hagan, Bill
Auton, Leigh	Boaden, Blair	Chen, Richard	Dean, Miriam	Foley, Toni	Hall, George
Aylward, Malcolm	Bollen, Nicholas	Chen, Stanley	Dee, Stephen	Fong, Benson	Hall, Joseph
Bader, Adriana	Bolton, Liza	Chen, Yea-Gong	Dee, Allan	Fong, Robert	Hall, Murray
Bahuguna, Nalin	Bond, Leonie	Cheyne, Neil	Dennehy, Bernard	Forrest, Lex	Hallum, Kirby-Jane
Baird, Donald	Booker, Rolf	Childs, William	Denton, Anne	Foubister, Alan	Hames, John
Baker, Bridget	Bowater, Margaret & Eric	Chilwell, Christine	Denton, Margaret	Fox, Ashleigh	Hamilton, David
Baker, Clive	Bowden, Tom	Chon, Edmund	Denyer, Paul	France, John	Hammer, Joan
Bakker, Vilas	Bowick, Heidi	Choong, Kay	Devadason, James	France, Margaret	Han, Chunyan
Bamfield, Duncan	Bowman, Elaine	Chopra, Heather	Dickinson, Hugh	Frankham, Bev	Han, Donovan
Bamfield, Maree & Robert	Boyce, Alexa	Choudhary, Renu	Didsbury, Katherine	Frankish, Paul	Hanbury, Judy
Banbury, Anita	Boyd Bell, Sarah	Choy, Stanley	Dijkstra, Eardley	Franklin, Alan	Handakas, Helena
Banks, Doug	Boyd, Brian	Christie, Nichola	Dil, Lindsay	Frankpitt, Hermione	Hanham, Alison
Bansal, Bipan	Boyd-Bell, Susan	Christopher, Jan	Dillon, Ross	Fraser, Lincoln	Hanna, William
Baran, Peter	Boyens, Philippa	Chu, Andrew	Dimeroy, Rachel	Friedlander, Marti	Hansen, Bill
Barclay, Glenn	Boyle, Leila	Chu, Karen	Dine, Peter	Frith, Malcolm	Hansen, Rod & Penelope
Barfoot, Christopher	Brace, Linda	Chuang, Tim	Diprose, Colin	Gabb, Anna	Hansen, Ted
Barfoot, Garth	Brackstone, Harry	Clapshaw, Peter	Dixit, Ganesh	Gabb, Rod	Harding, Mary
Barker, Ian	Bradley, Angela	Claridge, Graeme	Dobbie, Gay	Gadalla, Shadi	Hardley, Christopher
Barker, Valerie	Bradnam, Bruce	Clarihew, David	Dobbie, Philip	Gadde, Sindhura	Harland, Ann Christine
Barnard, Cynthia	Brailsford, Ian	Clark, Brenton	Dobler, Glen	Gain, George	Harre, Rom
Barnett, Margaret	Brennan, Lesley	Clark, Lyn	Docking, Annie	Galbraith, Steven	Harris, Jocelyn
Barry, Brian	Breward, Ian	Clark, Megan	Donald, Tom	Gallot, Helen	Harris, John
Bartlett, Russell	Brewster, Roger	Clark, Norman	Donnelly, Philip	Gao, Yang	Harris, Mark
Bartlett, Terangi	Brickell, Barry	Clarke, Kath	Douglas, Brett	Garrett, Jennifer	Harris, Matthew
Bartley, Vic	Bright, Colleen	Clarke, Philip	Douglas, Graeme	Garrett, Ross	Hart, Pamela
Barton, Bill	Bright, Jeffrey	Clarke, Philip	Dowie, Susan	Garthwaite, Kathryn	Harvey, Catherine
Bassett, Dorothy	Brock, Joan	Clarke, Ray	Dowrick, David & Gulie	Gatland, Bruce	Harvey, David
Batcheler, Lynda	Brothers, Margaret	Clarke, Trevor	Driver, Justine	Gauld, David	Harvey, Mark
Bateman, Graham	Brown, Andrew	Clarkson, Dale	Drummond, Simon	Gautier, Alan	Harvey, Martin
Bates, Denese	Brown, Barry	Clements, Roy	Drusteen, Thijs	Geerligs, Barnaby	Harvison, Roger
Bayly, Edward	Brown, Bettina	Clift, Max	Ducat, Ranald	Gemming, John	Haslam, Alastair
Bayly, Trevor	Brown, Dennis	Clucas, Rod	Diffus, Lyall	Gentles, Beverly	Hasnain, Syed
Bean, Derek	Brown, Marjorie	Cochran, Jennifer	Dukic, Sinisa	George, Nathan	Haugh, Lyndon
Beasley, Kent	Brownlie, Albert	Cockfield, Terry	Dullabh, Arvind	Gerard, John	Haw, Judith
Beasley, Michael	Bruce, David	Coldham, Margaret	Duncan, William	Gerbic, Ailsa	Hawkeswood, Rachel
Becroft, David	Bruell, Claire & Peter	Coldicutt, Helen & Addison	Dunleavy, Paul	German, Pablo	Hawkeswood, Rachel
Bedford, Gary	Bryant, David	Coldrick, Nicholas	Dunlop, Amy	Gervai, Pamela	Hawkins, Glenn
Beer, Gamal, Magda	Bu, Jessie	Cole, Alan	Dunsford, Cathie	Gervai, Pamela & Michael	Hawkins, Victoria
Beer, Ian	Burcher, Samuel	Cole, Cameron	During, Camilla	Gibbs, Angela	Hay, David
Beeson, Gerrard	Burrows-McFarlane, Brook	Collins, John	Duxbury, Mark	Gibson, Jennifer	Hay, David
Beetham, Maxine	Burrus, Douglas	Comer, Alison	Dwerryhouse, David	Gibson, Patrick	Hay, Nick
Begic, Zoran	Burry, Alistair	Commissaris, Michael	Dyer, Katherine	Gilberd, Bruce	Haycock, David
Beh, Chor	Butko, Konstantin	Compton, Richard	East, Paul	Gilberd, Paul	Haydon, Ron
Bell, Allan	Butler, Beverley	Conder, Marston	Ebbett, Richard	Gilbert, Stephen	Hayes Hennessey, Barbara
Bell, Frances	Button, John	Connor, Bruce	Edgcumbe, Margaret	Giles, Alexander	Hayman, Karen
Bell, Graeme	Byrne, John & Juley	Cook, Francis	Edwards, Terry	Gilmore, Natalie	Hays, Peter
Bell, Mekita	Cadness, Phaedra	Cooper, Joanna	Elias, Sian	Gilroy, Kathryn	Haywood, Roy
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Bennett, Betty	Callaghan, Paul	Corkery, Benjamin	Elliot, Norman	Glubb, Kevin	Heppleston, Annette
Bennett, Ronald	Callahan, David	Cornwell, Christopher	Ennis, John	Glucina, Anthony	Hercok, Paul
	Callan, Louise	Coull, Tamzin		Gluckman, Ann	Heron, Madeline

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 Heward, Suzanne
 Hewitt, Julie
 Hicks, Jocelyn
 Hill, Diti
 Hill, John
 Hill, Maureen
 Hill, Rosemary
 Hindle, Jane
 Hinds, Geoffrey
 Hirschfeld, Nicholas
 Hirst, Jenny
 Hirst, Jerry
 Ho, Jessica
 Hoadley, Judith
 Hobbs, Leo
 Hobbs, Stewart
 Hogan, Deborah
 Hogben, Lawrence
 Holborow, Les
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 Holmes, John & Jennifer
 Holster, Trish
 Holt, Jill
 Homer, Jocelyn
 Honeywell, Judy
 Hooper, Robin
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 Horton, Frances
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 Hotchkiss, Gaylene
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 Howie, Andrew
 Howie, Helena
 Hoyle, Justine & David
 Hsiao, Adeline
 Hsiung, Jerry
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 Hu, Jushi
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 Huang, Ning
 Huang, Terry
 Hubble, Gill
 Hubble, Graham
 Hudson, Malcolm
 Huerta, Monica
 Hughes, Anne
 Hughes, Gordon
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 Hunn, Nicola
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 Hutchinson, David
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 Hutton, Miranda
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 Irwin, Janet
 Ivory, Elizabeth
 Jackson, Anna
 Jackson, Graham
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 Jackson, Peter
 Jackson, Robert
 Jackson, Robert
 Jackson, Susan
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 Jacobson, William
 Jaffa, Kim
 Jalady, Pravina
 James, Margaret
 Jameson, Michael
 Jardine, Christopher
 Jayanandan, Iswari
 Jeevaratnam, Nirmala
 Jelichich, Matthew
 Jelley, Su
 Jenkin, Christine
 Jenkin, Thomas
 Jennings, Karen
 Jessup, Kirsten
 Jiang, Andrew
 Joffe, Dylan
 Johnson, Beverley
 Johnston, Alastair
 Johnston, Juliet
 Jones, Gudrun
 Jones, Phillip
 Jones, Ripley
 Jones, Robyn
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 Jonkers, Eric
 Jordan, Mark
 Josland, David
 Jull, Denis
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 Kapadia, Nitin
 Kara, Eugene
 Karalus, John
 Karandikar, Revati
 Karlson, Brian
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 Ke, Peiyu
 Keall, Beverley
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 Keffe, Gail
 Keeling, Ruth
 Kelsey, Jane
 Kendall, Bernard
 Kennerley, Paul
 Kermani, Sohail
 Kernot, Bernie
 Kerr, Hazel & Alan
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 Khaleghian, Elahe
 Khoo, Ah Ang
 Kibblewhite, Alick
 Killeen, Anita
 Kim, Daniel
 King, John
 King, June
 King, Thomas
 Kinghorn, William
 Kingsbury, Mary
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 Kliskey, Keryn
 Krueger, Matthias
 Krull, Elisabeth
 Kumar, Hemant
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 Kuschel, Gerda
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 La Roche, Sue
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 Lal, Evalyn
 Lal, Ranjit
 Lamb, John
 Lane, Denis
 Lau, Iris
 Lau, Shuk Yee
 Lau, Trevor
 Laurence, Edwina & Laurence
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 Le Grove, Kathryn
 Leaf, Catherine
 Leak, Martin
 Lee, Alexandra JaYeun
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 Lee, David
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 Lee, Ross
 Lee, Shuk
 Lee-Joe, Devlin
 Legat, Nicola
 Leih, George
 Leng, Norman
 Letcher, Felicity
 Leung, Anthony
 Leung, Ka
 Leung, Shirley
 Lewington, Ian
 Lewis, Freda
 Lewis, John
 Lewis, Josephine
 Leys, Vivienne
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 Lian, Bee Leng
 Liang, James
 Lim, David
 Lim, John
 Lim, Kee-Sin
 Lim, Rosemary
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 Macbeth, Heather
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 Maclean, Alasdair
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 Macredie, Stephen
 Mahmood, Hamid
 Main, Brian
 Main, Nick
 Major, Mark
 Malcolm, Andrea
 Malik, Mona
 Mallinson, Jeanette & Gordon
 Malloy, Michael
 Maloney, Kevin & Shannon
 Malpas, Mary-Anne
 Malpas, Simon
 Mander, Lewis
 Mangos, Karla
 Mann, Des
 Mansell, David
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 Marshall, Andrew
 Marshall, George
 Marshall, Mark
 Martin, Allan
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 Masefield, Barry
 Masfen, Peter
 Masfen-Yan, Eugenie
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 McDonald, John & Maureen
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 McHardy, Ian
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 McNiece, Robyn
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 Narev, Robert
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 Nathan, Roger
 Needham, Christopher
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 Owen-Tana, Dorothy
 O'Brien, Annis
 O'Brien, Eamonn
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 O'Connor, Vicki
 O'Keefe, Katherine
 O'Keefe, Mary
 O'Neill, Alice
 O'Rourke, Harry
 O'Rourke, Jackie
 Packer, Gina
 Pagad, Shyama
 Page, Bruce
 Page, Mit
 Palmer, Kenneth
 Palmer, Warwick
 Papadakis, Anastasia
 Papadakis, Ava
 Park, Kylie
 Park, Serena
 Park, Shee-Jeong
 Parker, Doug
 Parkinson, William
 Parr, John
 Parsons, Tony
 Partridge, Chris
 Partridge, John
 Patel, Anne
 Patel, Dinu
 Patel, Manojbhai
 Paterson, Barry
 Paterson, Betty
 Paterson, Ron
 Patterson, Ron
 Patterson, William
 Pavett, Sidney
 Paxie, Andrew
 Paykel, Agnes
 Pearce, Carey
 Pearce, David
 Pearce, Helen
 Pearman, Marie
 Pearson, Philip
 Pedersen, Shirley
 Peeters, John
 Pender, Janne
 Pendergrast, James
 Penny, David
 Pepe, Morag
 Percival, Roy
 Periyagaram, Sridhar
 Perkins, Mark
 Peterken, Jason
 Peters, Julia
 Pfannkuch, Maxine
 Phelan, Theresa
 Phillips, Dawn
 Phillips, Derek
 Phillips, Yvonne
 Pike, Timothy
 Pincott, Ngaire
 Pinder, Jennifer
 Pitcher, Marion
 Plank, Lindsay & Christine
 Poletti, Alan
 Poletti, Mark
 Pollock, Christopher
 Pongsupaht, Apinant
 Popata, Tania
 Port, Ramari
 Porteous, Colleen
 Potter, Judith
 Powell, Elisabeth
 Price, Ewan & Jennifer
 Priest, Elizabeth
 Primeau, Louie
 Prior, Donald
 Probert, Elizabeth
 Pui, Paul
 Pujji, Addie
 Pujji, Liza
 Puna, Eliza
 Punreddy, Megha
 Purcell, Elizabeth
 Pybus, John
 Queenin, Jay
 Quek, Siew
 Radford, Colin
 Radonich, Peter
 Rae, Heather
 Ramanathan, Mythily
 Ramos, Evelyn
 Rampal, Suman
 Ranby, Paul
 Randerson, Tony
 Ranford, Lydia
 Ranyard, Peter
 Rasoul, Mahabat
 Raudkivi, Arved
 Ravono, Meresini
 Rawley, Clara
 Raynes, Michael
 Rea, Brittany
 Read, Faith
 Read, Lynette
 Reddy, Narendra
 Redwood, Angela
 Reed, Peter
 Reedy, Tamati
 Reid, Hilary
 Reid, John
 Relph, Claire
 Rennie, John
 Reti, Tania
 Revell, Cliff
 Revell, Leo
 Rhodes, Peter
 Rice, Kathryn
 Riches, Mary
 Richmond, David
 Ricketts, Brian
 Ricketts, Geoff & Fran
 Rigold, Jessica
 Rimmer, Elizabeth
 Rimmer, John
 Roberts, Christine
 Roberts, Sally
 Robertson, Gillian
 Robinson, David
 Robinson, Stephen
 Robinson, Yvonne
 Rodliffe, Christopher
 Rogers, Brett
 Ross, Dian
 Rosser, Heidi
 Rowe, Peter
 Rumpf, Thomas
 Russel, Vanessa
 Russell, Linda
 Russell, Matthew
 Ryan, Jacqui
 Ryan, Sophia
 Sadler, John
 Sainsbury, Lyndy & Mark
 Salmon, Peter
 Salt, Kylie
 Sanders, Gina
 Sangster, Tony
 Sargent, Jean
 Sarolkar, Prafulla
 Savage, Micah
 Sawrey, Stephen
 Schmidt, Werner
 Schnackenberg, Mary
 Schneider, Robert



THE UNIVERSITY OF AUCKLAND

CITY CAMPUS

Schuler, Beate
Schwiewers, Malwina
Scobie, Sarah
Scobie, Tanya
Scott, Francis
Scott, Graeme
Seager, Christopher
Seagrave, Margaret
Seaton, Tessa
Seethepalli, Pratibha
Segedin, Marin
Seidel, Rainer
Selby, Annabel
Senapathi, Mali
Sender, Penelope
Sethu, R R
Settle, Rosalie
Sexton, David
Shafi, Mansoor
Shahul Hamid, Hanizah
Sharkey, Michael
Sharma, Indu
Sharp, Andrew
Sharp, Maxine
Shaw, Catherine
Shaw, Ian
Shaw, Keith
Shearer Rowe, Jillian
Shen, Jing
Shen, Yijun
Sherriff, Joanna
Sherwood, Mary
Short, Nicole
Shove, Brian
Shroff, Gordon
Shum, Stephanie
Silvester, Janet
Simon, Judith
Simpson, Heather
Simpson, Kenneth
Simpson, Lester
Simpson, Shane
Sinclair, John
Singh, Raghunath
Skelton, Peter
Skinner, David
Slater, Elinor
Slee, Lynette
Smale, Andrew
Smale, Janet
Small, Francis
Smillie, Rosslyn

Smith, Catherine
Smith, David
Smith, Dennis
Smith, Derek
Smith, Donal & Jill
Smith, Graham
Smith, Joanna
Smith, Laurie
Smith, Peter
Smith, Vanessa
Smits, Kathryn
Smout, Joanne
Snijders, Charissa
Snowdon, Helen
Sokratov, Ana
Sorrenson, Richard
Sparling, Rosanne
Speedy, Squire
Speir, Leonard
Spencer, Catherine
Spiller, Chellie
Spong, Geoffrey
Spring, William
Spurdle, Lynley
Stamp, Lisa
Stanes, Amanda & Peter
Stanton, Jeremy
Stansfield, Pauline
Stanton, Kathleen
Stanton, Timothy
Stastny, Ana
Steedman, Geoffrey
Steinman, Kai
Stenson, Marcia
Stevens, Lyn
Stevens, Richard
Steward, Mark
Stojanovich, Zoran
Stolwerk, Rick
Stone, Adrian
Stone, Christine
Stone, Marcus
Stone, Raewyn
Stoney, Carol
Stout, Julie
Stuckey, Christine
Sturm, Jennifer
Strychinsky, Kim
Styles, Paul
Sullivan, Richard
Sulich, Helen
Surrey, Thomas

Sutcliffe, Dean
Sutherland, Ross
Suttie, Ian
Swift, Brent
Syme, James
Syme, John
Tait, Philippa
Tait, Trevor
Tan, Belinda
Tan, Beng
Tan, Peng Shaun
Tan, Poh Keat
Tan, Siew
Tan, Simon
Tan, Yoke San
Tang, Jian Zhong
Tang, Tsz
Tanner, Raymond
Tarrant, Cecilia
Taylor, Elizabeth
Taylor, Jane
Tetley, Deane
Theilman, Cornelia
Thng, Chiok Meng
Thomas, Ruth
Thomas, Ryann
Thompson, Diana
Thompson, Margot
Thompson, Megan
Thomson, David
Thomson, Matt
Thomson, Michael
Thorburn, Stanley
Thorne, Kathleen
Thorp, Thomas
Thorpe, Geoff
Thurston, Phread
Thwaites, Trevor
Tian, Yee Ping
Tongue, Joy
Tietjens, Mary
Timmins, Rayma
Tindall, Stephen
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Titter, Margaret
Tizard, Catherine
Todd, Alan
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Tongue, Kim
Tonkin, John
Toogood, Kit
Topp, Lindsey-Rose
Townsend, Kristy

Toy-Cronin, Bridgette
Tran, Quy
Trembath, Wilton
Trounson, Gregory
Trubuhovic, Ron
Tsang, Hing Lung Eric
Tsang, Tin Sang
Tsoi, Yun
Tsui, Joseph
Tuhou, Troy
Tunks, Mary
Tupou, David
Turnbull, John
Turner, Arnold
Turner, Clifford
Turner, Philip
Turner, Raymond
Turner, Robin
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Twiss, Dee
Twiss, Greer
Twiss, Jacob
Tyrrell, Maria
Udy, David
Uhe, George
Urquhart, Gwynne
Uttam, Narendra
Utting, Jenny
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Van Eden, Jan
van Rossen, Rhoda
Vaughan, Mark
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Verran, Susan
Vicente, Joel
Von Randow, Janet
Voss, Rod
Vovchenko, Oliver
Waddington, Rosa
Wait, Logan
Waite, Christopher
Walbran, Scott
Walker, Curtis
Walker, Geoffrey
Walker, Philippa
Walker, Phyllis
Walker, Stan
Wallace, Elizabeth

Wallace, Grant
Wallis, Beverley & Bill
Walmsley, Michael
Walsh, Helen
Wang, Gary
Wang, Mu-Wen
Wang, Shixiao
Wang, Vicky
Warbrooke, Ben
Ward, Elizabeth
Ward, Tony
Waters, Steven
Watson, Barbara
Watson, Chris
Watson, James
Watson, Michael
Watt, Russell
Wedekind, Andrew
Wellington, Eleanor
Wells, Pamela
Wentworth, Mavis
Werry, John
West, John
West, John
Whaitiri, Reina
Whale, Michael
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Whaley, Owen & Alexa
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Wheeldon, Sheana
Wheeler, Graham
Whelan, Roberta
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White, Michael
Whiteford, Geraldine
Wignall, Jean
Wilcox, John
Wilderspin, Pauline
Wilkes, Joanne
Wilkinson, Shirley
Willey, Hayden
Williams, David
Williams, Julian
Williams, Katrina
Williams, Leigh
Williams, Leon
Williams, Michael
Williams, Samantha
Williams-Stanley, Benson
Wilson, Ann
Wilson, Jean
Wilson, Josie

Wilson, Leonard
Wilson, Nigel
Wilson, Richard
Wilson, Stephen
Wilson, Stephen
Wilton, Robert & Sheila
Wimalasena, Samadhi
Winkelmann, Helen
Wiseman, Jennifer
Wiseman, Martin
Wishart, Catherine
Wong, Chris
Wong, Coral
Wong, Edwin
Wong, Samuel
Wood, Antony
Wood, Michael
Wood, Neville
Wood, Simon
Woodhouse, Peter
Woods, Wilson
Woodward, Jack
Woolhouse, Lynn
Woolley, Rebecca
Wright, David
Wright, Elizabeth
Wright, Jenefer
Wright, Judith
Wright, Peter
Wright, Robert
Wright, Tanya
Wrightson, Anne-Marie
Wu, Cheng-Yi
Wu, Ray
Wu, Yan
Wylie, Andrea
Wyman, George
Xu, Jenny
Xu, Tianyu
Yang, Jason
Yeats, Leslie
Yek, Siew
Yoon, Caroline
Yoon, Inshil
Young, Darryn
Young, Dominic
Young, Mayannje
Young, Warren
Yu, Kenny
Yule, Robert
Zaugg, Sharon

Investments

2015 was another positive year for long-term investors as global interest rates continued to fall, underpinning both the world's bond markets and equity markets; correspondingly the Foundation's Endowment Investment Pool (EIP) enjoyed another year of good returns. It also enjoyed a year of substantial growth due also to gifts and transfers, passing the \$100 million mark for the first time.

The Foundation, apart from term deposits with NZ banks, makes no direct purchases of securities in the EIP. Instead, it invests in a number of commingled funds, both managed and passive, on the advice of its external investment consultants, Cambridge Associates. All of the investment managers with whom the Foundation is invested are signatories to the United Nations Principles for Responsible Investment.

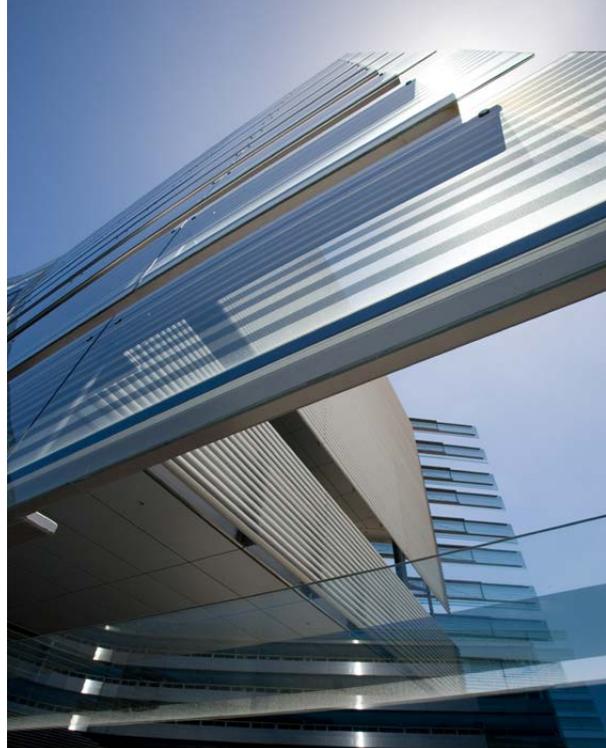
The EIP, which had a closing balance of \$108 million at year end, was invested as follows:

- 33% global equities (50% currency hedged)
- 16% Australasian equities (100% currency hedged)
- 15% NZ bank term deposits (8% under 2 years; 7% over 2 years)
- 13% NZ fixed income
- 9% global fixed income (100% currency hedged)
- 9% low volatility hedge fund (80% currency hedged)
- 7% emerging markets fixed income and equities (no currency hedging)

And it has returned (net of fees):

- 9.3% p.a. over the last 5 years
- 11.2% p.a. over the last 3 years
- 8.3% p.a. over the last year

These returns are calculated at the pool level (by Cambridge Associates) using the industry-standard, modified Dietz method. This method calculates total pool



returns on a monthly basis. Each underlying investment is valued individually and a monthly weighted average return is calculated. Monthly pool returns are then calculated into annual returns on a time-weighted basis. Significant cash flows into the EIP during the year affect the total return calculation.

The EIP is managed economically by the Foundation and it charges no fees for the internal management of the bank term deposits, while the total fees charged by external managers amount to no more than 0.5% p.a. of the EIP's average monthly balance.

The EIP is invested with the objective of ensuring that endowment donations retain their real value over long periods of time by (in order of decreasing importance):

- achieving a reasonable degree of stability and predictability in available income distributions and hence in the level of the activity the donor wishes to see occur;
- generating sufficient investment returns and maintaining a distribution policy that will preserve the real value of available income distributions over the long term so that a proper and equitable balance is kept between present and future activity;
- achieving the highest possible returns consistent with acceptable levels of risk, but not so as to undermine the previous objectives;
- ensuring that investment managers and advisors focus on long-term returns and are insulated from pressures to produce short-term gains.

A Statement of Investment Policies and Objectives (the 'SIPO') for the EIP can be viewed in full at

 www.uoafoundation.org.nz.

Friends of the University of Auckland

US Friends of the University of Auckland, Inc

The Foundation received grants from US Friends totalling \$1,171,512 (NZD) in 2015 for the following activities at the University of Auckland (with original donors in brackets):

Research and Programmes

- Bioengineering Institute led by Professor Peter Hunter (Aotearoa Foundation)
- Centre for Brain Research led by Professor Richard Faull (Aotearoa Foundation)
- Neurosurgery (Aotearoa Foundation)

Scholarships

- Business (Anonymous)
- English literature (Anna Neill)
- Law (James Hosking)
- Medicine (Vanguard Charitable)
- University-wide (for students who are the first in their family to attend university: Grant Biggar, Alan Nunns & Mary Rogan, Chevron)
- University-wide (exchange scholarships between NZ and the US: various donors)

Fellowships and Chairs

- Audiology (Bruce Haughey)

DIRECTORS

Peter Rajsingh (Chair), New York, New York

Grant Biggar, New York, New York

Tim Cameron, New York, New York

Mark Field, San Francisco, California

Quentin Hills, San Francisco, California

Lynette Jones, Cambridge, Massachusetts

Stuart McCutcheon, Auckland

Jonathan Mason, Auckland

Kristofer Segerberg, Fairfield, Connecticut



UK Friends of the University of Auckland

The Foundation received grants from UK Friends totalling \$2,409,161 (NZD) in 2015 for the following activities at the University of Auckland (with original donors in brackets):

Scholarships

- Architecture (Murray Wren)
- Engineering (John Miller)
- Law (Michael Butler; Philip Whale)
- Philosophy (Quentin Maxwell-Jackson)
- University-wide (various donors)

Fellowships and Programmes

- Business (Max Traeger)
- Medicine (Kevin Somerville)

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THE SCHOOL OF MEDICINE FOUNDATION

Report from the Chair 2015



I am pleased to present my report as Chair of the University of Auckland School of Medicine Foundation ('SoMF') and to share it with all our supporters from the last few years. SoMF had yet another wonderful year, with gifts received of \$6.1 million, distributions of \$6.8 million, and investment income of \$2.1 million.

We were absolutely delighted to receive a magnificent pledge from the Li Family for what will be the largest-ever gift to SoMF and one that will be a very significant addition to SoMF's endowment (there is a fuller story on p.17 of this report).

All endowment gifts are invested in the University of Auckland Foundation's main endowment pool and at the end of 2014, SoMF's share of that pool stood at nearly \$20 million.

Gifts to SoMF have no administrative fees deducted from them whatsoever. If the gift is to be expended in its entirety, then every dollar donated is so used and directed to the intended purpose. If the gift is an endowment, then all of the net investment income (as outlined in the "Investments" section on p.14 of this report) is either spent for the purpose of the original gift, or reserved for future expenditure on that same purpose.

I would like to thank my fellow trustees and SoMF staff for their commitment and hard work during the year. To all our donors, I hope you will continue to support SoMF as you have in the past. It is a privilege to steward your gifts and put them to work in medical research and education to the benefit of us all.

Peter Tong, Chair

GIFTS FROM ORGANISATIONS IN 2015

Anonymous (1)
 Abbotsleigh School
 Aix Marseille University
 Asthma Australia
 AstraZeneca Singapore Pte Ltd
 Auckland Asthma Society
 Auckland Radiology Group
 Baxter Healthcare Ltd
 Bollard Charitable Trust
 Brian & Sue Picot Charitable Trust
 Centro de Investigacion Biomedica en Red
 Coker Charitable Trust
 Continuing Education (Hamilton) Trust
 Cooper/Vision
 Fraser Charitable Trust
 Freemasons Foundation
 Friends of The University of Auckland (US)
 Gus Fisher Charitable Trust
 Kohimarama Bridge/Tennis Club
 KPS Society Limited
 Leukaemia and Blood Cancer New Zealand
 Link Research and Grants
 London School of Hygiene & Tropical Medicine
 MK Kowhai Trust
 Motor Neuron Disease Association of NZ Inc
 National Heart Foundation of New Zealand
 National Tertiary Education Consortium
 Neuro Research Charitable Trust
 Newmarket Rotary Charitable Foundation
 NZ Audiological Society Inc
 Oman Respiratory Society
 Osborn Engineering Limited
 PaR nz
 Perpetual Guardian
 Remuera Bowling Club
 Retina New Zealand Inc
 Robert Horton Memorial Trust
 Rotary Club of Birkenhead
 St Kentigerns Boys' School
 The Angus Family Trust
 The Asthma and Respiratory Foundation of New Zealand (Inc)
 The CatWalk Spinal Cord Injury Trust
 The Douglas Charitable Trust
 The Fred Hollows Foundation
 The Freemasons Charity
 The Hugh Green Foundation
 The Kelliher Charitable Trust
 The Obstetrics & Gynaecology Hospital
 The Parkinsonism Society Hawkes Bay Inc
 The SA and GJ Ombler Charitable Trust
 The Sir Thomas and Lady Duncan Trust
 The Stevenson Foundation
 Tom Cat Trust
 Vernon Tews Education Trust
 Waterloo Trust

GIFTS FROM INDIVIDUALS IN 2015

Anonymous (10),
 Holroyd, Guy
 Gane, Maryanne & Adrian
 Gill, Michael & Linda
 Graham, John & Sheila
 McGhee, Charles & Jane
 Norman, Anne & David
 Perkins, Chris & Rodney
 Peterson, Vivienne & Carl
 Somerville-Ryan, Gendie & Richard
 Topping, Lesley & Robert
 Alexander, Fraser
 Al-Saady, Hussain
 Asher, Innes
 Banks, Caroline
 Batcheler, Lynda
 Baxter, Geoffrey
 Beavis, Margaret
 Booth, Ida
 Booth, Mitchel
 Boyne, James
 Broom, Alan
 Brown, Angela
 Brown, June
 Buswell, Keith
 Cameron, Ian
 Cameron, Robert
 Carter, Marian
 Chang, April
 Cheung, Antonio
 Chrisp, Tony
 Clark, Faye
 Collins, Valrae
 Cornish, Sara
 Crampton, Philip
 Dalbeth, Geoff
 Darville, Miranda
 Davies, Elaine
 Davis, Brian
 Dean, Simon
 Dee, Jenny
 Dewsnap, Lee
 Dierick, Donna
 Dittrich, Leon
 Donavan, Nick
 Doughty, Robert
 Fanning, Trish
 Farmer, Karon
 Fox, Jonathan
 Frater, Janet
 Gerrard, Gavin
 Gibbs, Jenny
 Gray, Ellie
 Green, Denise
 Gunson, James
 Hadlow, Simon
 Hardie, Donna
 Hardley, Liz
 Harte, Elizabeth
 Heimsath, Tracey
 Henrys, Jane
 Hodge, Shelley
 Hollis, Julie
 Hughes, Carmel
 Jagger, Ray
 Jury, Gendie
 Kent, Gordon
 Kento-Peachey, Mathew
 King, Burton
 Knight, Pamela
 Lau, Trevor
 Linton, Helen
 LoGiudice, Dina
 Lyon, David
 MacDonald, Bruce
 MacKay, Marjorie
 Markson, Stephanie
 Maud, Christopher
 McCarthy, Rebecca
 McLaughlin, Pam
 Meadows, Nadya
 Miller, Helen
 Milne, Chris
 Moodie, Lati
 Moore, Cyril
 Morison, Kay
 Morris, Arthur
 Muller, Vanessa
 Narev, Robert
 Neil, Alastair
 Nelson, Alison
 Nicholson, Gordon
 Nicolson, Jo-Anne
 O'Herlihy, Eileen
 Oldfield, Jean
 Palmer, Warwick
 Papadakis, Anastasia
 Paykel, Agnes
 Pikhholz, Catherine
 Poole, Philippa
 Pukas, Raewyn
 Quinn, Sarah
 Richards, Janet
 Robinson, Raymond
 Ross, Gabrielle
 Russell, John
 Sawrey, Sarah
 Sharman, Bettina
 Shasha, Mazen
 Sherratt, Sue
 Sprenger, Martin
 Stoner, David
 Storey, Leslie
 Taylor, Lynne
 Thompson, Greg
 Thumath, Kay
 Tonkin, Jan
 Towle, Dinah
 Van Der Gulik, Michael
 Verbiest, Marjolein
 Warmington, Andrew
 Wei, Yujie
 Wells, Mary
 Werry, John
 Wesley, Alison
 Westra, Barbara
 Wham, Carol
 Wheeler, Graham
 Wiles, Janine
 Wilkins, Barbara
 Williams, Garth
 Woodroffe, Anna
 Worner, Rachel
 Wright, Elsie
 Wright-St Clair, Valerie
 Young, Catherine

The School of Medicine Foundation

Thanks to our donors, the School of Medicine Foundation had an exceptional year in 2015. Below are some of the success stories which were enabled by this generosity.

LI FAMILY CANCER RESEARCH FUND MAKES INCREDIBLE CONTRIBUTION TO CANCER RESEARCH

New Zealand has one of the highest cancer rates in the world, accounting for nearly a third of all deaths. The Li Family Cancer Research Fund is donating \$10 million towards vital cancer research at the University of Auckland's Faculty of Medical and Health Sciences to help create a new era of cancer treatment.

This incredible support will ensure the University has access to the best minds, resources, and support in the years to come, while working towards developing treatments for the multifaceted disease.

“This gift to the University is extraordinarily generous not only in its scale, but also in the multigenerational commitment that the Li family has made to support an ongoing programme of research. It will have a profound impact on our ability to develop new treatments for cancer,” says University of Auckland Vice-Chancellor, Professor Stuart McCutcheon.

The first major grant is the 2016 Li Family Cancer Research Project Grant which was made to Dr Michelle Wilson and her team. Beginning in September 2016, the \$480,000 project has been coined PROSPER (PROfiling Oncology patientS as Part of clinical carE and Research) and focuses on biomarkers for gynaecological cancers.

Biomarkers are specific characteristics of the cancer that may provide information about which cancers are more, or less, aggressive and which will, or will not, respond to a specific treatment.

Dr Michelle Wilson is a medical oncologist with the Cancer and Blood Service at Auckland City Hospital and a clinical senior research fellow with the Department of Oncology at the University of Auckland.



The Li family, from left, Zeyin Li, Chloe Li, Jenny Han and Liangren Li

“The increasing appreciation and identification of specific mutations that drive cancers leaves us on the threshold of a new era in which biomarkers will be used to direct targeted agents to those patients most likely to respond,” says Dr Wilson.

In mid-2015, the Auckland Cancer Society Research Centre (ACRC) completed a clinical trial for personalised oncology, the first broad genetic analysis of cancer patients undertaken in New Zealand. The results were outstanding, in some cases extending the life expectancy of patients by up to two years, through genetically-guided, targeted therapy.

“The fund provides a very substantial boost to our world-class cancer research programme and will, for example, provide much needed long-term support for our brilliant young research fellows, whom we are trying to retain in New Zealand,” says Dean of the Faculty of Medical and Health Sciences, Professor John Fraser.

Donor: Li Family Cancer Research Fund



From left: Professor Felicity Goodyear-Smith, Dr Helen Petousis-Harris, Professor Ngaire Kerse, Rawiri Wharemate, Anne McLean, Dr Anna Howe (KPS Fellow) and Associate Professor Nigel Wilson (KPS Trustee).

IMPROVING CHILDREN'S HEALTH OUTCOMES

The Department of General Practice and Primary Health Care is proud to appoint a new research fellow, Dr Anna Howe, thanks to the kind support of the KPS Society.

The goal of this fellowship is to foster and undertake research primarily focused on the effectiveness and safety of the NZ National Immunisation Programme to improve child health outcomes. Anna is the first recipient of the position, which is funded for the next 10 years.

Previously a statistical analyst at Statistics New Zealand, Anna has a PhD in nutritional epidemiology, specifically food choice, dietary patterns, and body composition among children and adolescents.

The fellowship will aid policymakers in supporting ongoing decisions around the scheduling of the immunisation programme, as well as the New Zealand public by providing confidence in the delivery of the programme.

The Immunisation Advisory Centre (IMAC), which undertakes research activities and national advisory functions, identified the need for the position and will provide the academic direction.

Anna's initial research priorities will include the effectiveness and safety of the childhood vaccines on the National Immunisation Schedule; developing international collaborations through data sharing, and studying current services and the uptake of services by pregnant women and infants.

KPS supports research and development in the early childhood area and it has supported Plunket activities for many years. Its support in funding this new fellowship will have a major impact on improving children's health outcomes.

Donor: KPS Society

WORLD-LEADING HUGH GREEN BIOBANK

The University of Auckland's Centre for Brain Research (CBR) and the Hugh Green Foundation continue to work together in developing their world-leading Biobank. It is the first brain-oriented Biobank in the country to house human brain cells, taken from patients with brain disorders, for research into brain disease.

The Hugh Green Foundation's generous new \$1.3 million donation, on top of its previous \$1 million donation, provides critical funding for research into conditions including dementia, epilepsy, strokes, brain tumours, Parkinson's and multiple sclerosis.

“Without this wonderful support our dream of establishing the Biobank would not have been possible,” says Director of the Hugh Green BioBank, Professor Mike Dragunow.

Through the continued support of the Hugh Green Foundation, the five-year-old facility has systematically developed cutting-edge research techniques into brain disease. One example includes growing and studying a range of cultured adult human brain cells in the lab from donors who suffered from a variety of devastating brain diseases such as Alzheimer's, Parkinson's, Huntington's, epilepsy, motor neuron disease and brain tumours. There is no equivalent facility anywhere else in the world, placing the CBR at the cutting-edge of worldwide research and testing.

Located at the Faculty of Medical and Health Sciences, the Biobank forms an integral part of the University's human brain research programme. The Biobank secures and significantly expands the human brain tissue and cell bank resources for research into human brain disorders.

With this new funding, CBR scientists working closely with the Hugh Green Biobank will focus their efforts on drug testing using their unique human brain cell cultures. They believe that testing drugs on human brain cells has the highest possibility of success to identify drugs that work on humans.

Donor: The Hugh Green Foundation

STEVENSON FELLOWSHIP FUND SUPPORTS POST-DOCTORAL RESEARCH

In New Zealand, almost one in three adults suffers from obesity, a major cause of type 2 diabetes. One of the most successful treatments for obesity, related to type 2 diabetes, is bariatric surgery. In most cases, this surgery successfully reverses type 2 diabetes, however the status of diabetes-related complications after surgery are not well documented.

The newly-created Stevenson Fellowship Fund is financing a post-doctoral research position at the Faculty of Medical and Health Sciences at the University of Auckland.



The fellowship has been awarded to Dr Stuti Misra who will undertake a research project reporting on the importance of non-invasive ocular assessments by studying the debilitating complications of diabetes and obesity. She will also research the specific effect of bariatric surgery for severe obesity on diabetic peripheral neuropathy.

Diabetic peripheral neuropathy is nerve damage caused by diabetes that affects the arms, hands, legs, and feet. Symptoms can include tingling sensations, numbness, or pain.

Dr Misra will be using a unique non-invasive tool, in vivo confocal microscopy (IVCM) of the cornea, to assess small sensory fibres by directly observing the corneal nerve microstructure.

The assessment of nerve damage through IVCM could potentially be an increasingly useful alternative to more invasive techniques in the diagnosis and assessment of diabetes-related nerve damage, as well as for the monitoring of new treatments.

The Stevenson Fellowship Fund's support is invaluable in funding Dr Misra's research, which aims to raise awareness of diabetes and its related illnesses, as well as improve the ocular and general health of New Zealanders.

Donor: Stevenson Foundation

Summary Financial Statements



Grant Thornton

REPORT OF THE INDEPENDENT AUDITOR ON THE SUMMARY FINANCIAL STATEMENTS

Audit

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To the Trustees of The University of Auckland Foundation

The summary financial statements of The University of Auckland Foundation (the Foundation) together with summary financial statements of The University of Auckland School of Medicine Foundation (which together creates the Group) contain a Summary Statement of Financial Position as at 31 December 2015 for the Foundation and the Group, as well as a Summary Statement of Comprehensive Income, Statement of Changes in Equity and Statement of Cash Flows for both the Foundation and the Group for the year then ended. The related notes have been derived from the audited financial statements of the Foundation and the Group for the year ended 31 December 2015. We expressed an unmodified opinion on those financial statements in our report dated 18 March 2016.

The summary financial statements of the Foundation and Group do not contain all the disclosures required for full financial statements under generally accepted accounting practice in New Zealand. Reading the summary financial statements, therefore, is not a substitute for reading the complete set of audited group financial statements for The University of Auckland Foundation.

Trustees' responsibility for the financial statements

The Trustees are responsible for the preparation of a summary of the audited financial statements for the Foundation and Group in accordance with FRS-43: *Summary Financial Statements*.

Auditor's responsibility

Our responsibility is to express an opinion on the summary financial statements of the Foundation and Group based on our procedures, which were conducted in accordance with International Standards on Auditing (New Zealand) ISA (NZ) 810, *Engagements to Report on Summary Financial Statements*.

Other than in our capacity as auditors, we have no relationship with, or interests in The University of Auckland Foundation or the other member of the Group.

Opinion

In our opinion, the summary financial statements for the Foundation and Group derived from the audited financial statements of the Foundation and Group for the year ended 31 December 2015 are consistent, in all material respects, with those financial statements, in accordance with FRS-43.

Grant Thornton New Zealand Audit Partnership
Auckland, 18 March, 2016

Chartered Accountants
Member of Grant Thornton International Ltd

ACCOUNTING POLICIES AS AT 31 DECEMBER 2015

The University of Auckland Foundation Incorporated (Foundation) and The University of Auckland School of Medicine Foundation (SoMF), together being the consolidated entity (Group) are each charitable entities domiciled in New Zealand and registered under the Charities Act 2005.

The Foundation was established by deed of trust dated 28 November 2002 and subsequently amended on 13 September 2013. A variation to the trust deed establishing the School of Medicine Foundation granted the Foundation the power to appoint the SoMF Trustees.

The Group is designated as a public benefit entity for the purpose of complying with Generally Accepted Accounting Practice in New Zealand (NZ GAAP).

The summary financial statements are for the year ended 31 December 2015 and have been prepared in accordance with Financial Reporting Standard 43 Summary Financial Statements.

The Financial Statements have been prepared on a historical cost basis as modified by the revaluation of Financial Assets which are measured at fair value through profit and loss.

These summary financial statements are presented in New Zealand dollars (NZD) which is the functional currency of SoMF and Foundation. All financial information presented in New Zealand dollars has been rounded to the nearest thousand dollars.

The Group is not subject to taxation on its income.

The Group summary financial statements have been prepared by combining the financial statements of entities that comprise the consolidated entity, being the Foundation and SoMF. Consistent accounting policies have been employed in the preparation and presentation of the consolidated summarised financial statements.

The summary financial statements were authorised by the Trustees on 18 March 2016. These summary financial statements have been extracted from the organisation's full financial statements dated 18 March 2016. The full financial statements were prepared in accordance with NZ GAAP. The organisation has made an explicit and unreserved statement of compliance with New Zealand equivalents to International Financial Reporting Standards (NZ IFRS) in its full financial statements. The full financial statements were audited by Grant Thornton who issued an unqualified audit opinion.

The summary financial statements provide interested persons with a succinct overview of the past performance of the Foundation and consolidated entity. Users of the summary financial report should note that the information contained therein cannot be expected to provide as complete an understanding as provided by the full financial statements of the comprehensive income, financial position and cash flows of the Foundation and consolidated entity.

Users can view the full financial statements on the Foundation website: www.uoafoundation.org.nz. Alternatively users can request the full financial statements from the Foundation Manager at Alumni Relations and Development, The University of Auckland, Private Bag 92019, Auckland, 1142.



Chairman



Trustee
18 March 2016

Summary Statement of Comprehensive Income for the Year Ended 31 December 2015

	GROUP		FOUNDATION	
	2015 \$,000	2014 \$,000	2015 \$,000	2014 \$,000
Gifts and Legacies	23,198	13,950	17,076	5,826
Investment Gain	8,245	9,813	6,145	7,661
Interest and Fees on Loans after impairments	85	258	85	258
Operating Revenue	31,528	24,021	23,306	13,745
Operating Expenses	(233)	(215)	(157)	(149)
Distributions and Grants	(13,607)	(20,899)	(6,774)	(15,244)
Total Comprehensive Income for the Year	17,688	2,907	16,375	(1,648)

Summary Statement of Changes in Equity for the Year Ended 31 December 2015

	2015 \$,000	2014 \$,000	2015 \$,000	2014 \$,000
Equity at the Beginning of the Year	118,813	115,906	93,396	95,137
Total Comprehensive Income	17,688	2,907	16,375	(1,648)
Transfer to Related Entity	-	-	4	(93)
Equity at the End of the Year	136,501	118,813	109,775	93,396

Summary Statement of Financial Position as at 31 December 2015

	2015 \$,000	2014 \$,000	2015 \$,000	2014 \$,000
Current Assets	3,644	233	2,925	189
Current Liabilities	(12,075)	(15,153)	(4,464)	(8,581)
Net Current Assets	(8,431)	(14,920)	(1,539)	(8,392)
Financial Assets	144,932	133,733	111,314	101,788
Net Assets	136,501	118,813	109,775	93,396
Represented by:				
Equity	136,501	118,813	109,775	93,396

Summary Statement of Cash Flows for the Year Ended 31 December 2015

	2015 \$,000	2014 \$,000	2015 \$,000	2014 \$,000
Cash Flows from Operating Activities				
Gifts and Legacies Received and Investment Income	26,448	15,729	19,423	7,267
Distributions and Grants and Other Payments	(16,754)	(14,518)	(10,943)	(10,363)
Net Cash Inflows/(Outflows) from Operating Activities	9,694	1,211	8,480	(3,096)
Cash Flows from Investing Activities				
Financial Assets	(6,286)	(1,095)	(5,748)	3,209
Net Cash Inflows/(Outflows) from Investing Activities	(6,286)	(1,095)	(5,748)	3,209
Net Increase/(Decrease) in Cash Held	3,408	116	2,732	113
Cash at the Beginning of the Year	230	114	188	75
Cash at the End of the Year	3,638	230	2,920	188



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